

# On Scheduling Transmissions under QoS based Constraints

***Premal Shah and Abhay Karandikar***

Information Networks Lab,  
Department of Electrical Engineering,  
IIT Bombay  
Mumbai, India

June 2003

## Outline

- The QoS Framework and the Token Bucket Regulator
- The Regulated Media Streaming Problem
- Information Utility of a Token Bucket Regulator
- Power-efficient Transmissions and Discrete Rate Scheduling

## QoS Framework and the Token Bucket Regulator

- **Service Level Agreement (SLA) between the Network and the Source**
  - Delay and loss performance guarantees for flows
  - Bounds on Source Traffic Profile
- **Linearly Bounded Arrival Processes**
  - Maximum amount of traffic  $A(t, \tau)$  that a source may send in an interval  $(t, \tau]$  is bounded by a linear function of the interval
  - $(\rho - \sigma)$  regulated traffic

$$A(t, \tau) \leq \sigma + \rho(\tau - t)$$

## Token Bucket Regulator

- **The standard IETF Token Bucket Regulator**  
2 parameters
  - Token Refill Rate -  $r$
  - Bucket Depth (maximum no. of residual tokens)-  $B$
- **Augmented Token Bucket Regulator  $TBR(r, B, B_0)$** 
  - Token Refill Rate vector -  $r : (r_1, r_2, \dots, r_N)$
  - Bucket Depth vector -  $B : (B_1, B_2, \dots, B_N)$
  - Initial Token Grant -  $B_0$

## Token Bucket Regulation Constraints

- packet length vector -  $x : (x_1, x_2, \dots, x_N)$
- residual token vector -  $t : (t_1, t_2, \dots, t_N)$

*for a conforming flow*

$$\begin{aligned}x_n &\leq t_{n-1} + r_n ; \forall n : 1 \leq n \leq N & (1) \\t_n &= \min(t_{n-1} + r_n - x_n, B_n) ; \\t_0 &= B_0\end{aligned}$$

### Admissibility Constraints (Necessary and Sufficient)

$$\sum_{n=i}^{n=j} x_n \leq B_{i-1} + \sum_{n=i}^{n=j} r_n \quad \forall (i, j) : 1 \leq i \leq j \leq N \quad (2)$$

## Distortion Model for Streaming

Stream is assumed to be characterized by a requirement schedule

$$\mathbf{y} : (y_1, y_2, y_3, \dots, y_N)$$

- $y_n$  : bits required to code media content for interval  $n$
- $u_n$  : no. of bits in the transmitted stream for coding interval  $n$
- $\alpha_n = \max(y_n - u_n, 0)$  : absolute loss for interval  $n$
- $\beta_n = \max(\frac{y_n - u_n}{y_n}, 0)$  : fractional loss for interval  $n$
- $d_n$  : distortion for interval  $n$ ; a **convex, increasing** non-time varying function of  $\alpha_n$  or  $\beta_n$

## Modeling Overall Distortion

Assume that the overall distortion may be modeled by

$$D = \sum_{n=1}^{n=N} d_{\alpha}(\alpha_n) \quad \text{OR} \quad (3)$$

$$= \sum_{n=1}^{n=N} d_{\beta}(\beta_n) \quad \text{OR} \quad (4)$$

$$= \sum_{n=1}^{n=N} y_n \cdot d_{\beta}(\beta_n) \quad (5)$$

## Justification for Distortion Model

- Content in each interval made up of objects
- Each object follows a Gaussian distribution
- An object needs to be coded using  $M$  bits

*for optimal performance*

- Each object coded using  $M \frac{u_n}{y_n}$  bits
- Distortion (mean squared error sense) in the coding of each object:  
 $e = (2^{2M\beta_n} - 1)$

- Distortion  $d_n$  for the interval  $n$ :

$$d_n = \frac{y_n}{M} (2^{2M\beta_n} - 1)$$

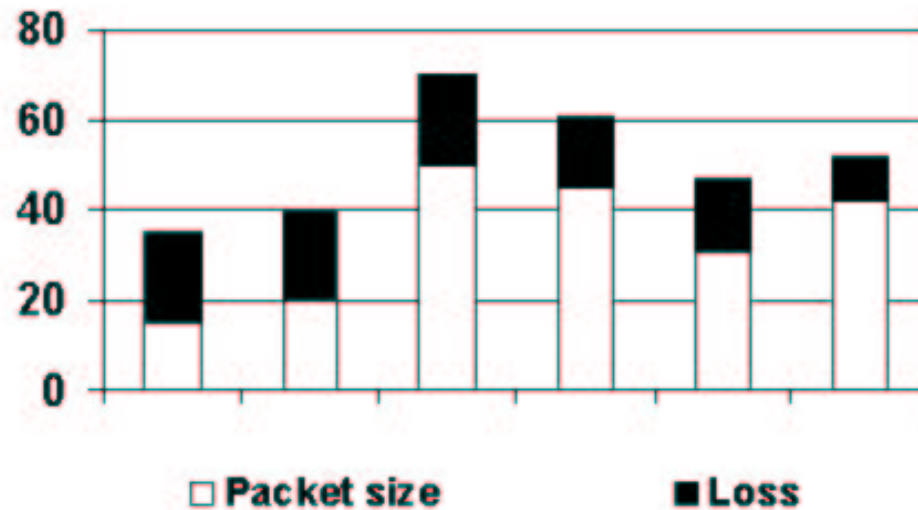
thus, Overall distortion :  $D = \frac{1}{M} \sum_{n=1}^{n=N} y_n (2^{2M\beta_n} - 1)$



## An Optimization Cost Function

- Assumptions on the Distortion Function:
  - Convexity
  - Additivity
- Objective: *Minimize overall distortion and distortion jitters*
- Optimization Cost function:  $\beta'$  - *vector of fractional losses arranged in a decreasing order*
- Offline solutions optimal w.r.t.  $\beta'$ 
  - have minimum MINMAX distortion
  - are optimal (for 5)) and close to optimal (for (4)) in terms of overall distortion

## Offline Packet Scheduling



To optimize  $\beta'$ :

- Distribute losses  $\beta_n$  equitably
- Begin with assigning  $\beta_N$

## Properties of Optimal Schedule

If no delay is allowed in transmissions then  $u_n = x_n$ .

Define

$$\gamma_{ij} = \max\left\{\frac{\sum_{n=i}^{n=j} [y_n - r_n] - B_{i-1}}{\sum_{n=i}^{n=j} y_n}, 0\right\}$$

$$\gamma^* = \max_{(i,j): 1 \leq i \leq j \leq N} \{\gamma_{ij}\}$$

$$(i^*, j^*) = \arg \max_{(i,j): 1 \leq i \leq j \leq N} \{\gamma_{ij}\}$$

In an allocation that is optimal w.r.t  $\beta'$

$$\beta_1' = \gamma^*$$

$$\beta_n = \gamma^* ; \forall n : i^* \leq n \leq j^*$$

$$x_n = y_n(1 - \gamma^*)$$

## Non-zero Absolute Delay Bounds

- $x_n$  now denotes the number of bits allocated to code content of interval  $n$
- A delay of  $D$  intervals is allowed before transmission
- Zero delay analysis carries over with few modifications:

$$\sum_{n=i}^{n=j} x_n \leq B_{i-1} + \sum_{n=i}^{\min(j+D, N)} r_n \quad \forall (i, j) : 1 \leq i \leq j$$

$$\gamma_{ij} = \max\left\{ \frac{\sum_{n=i}^{n=j} y_n - \sum_{n=i}^{\min(j+D, N)} r_n}{\sum_{n=i}^{n=j} y_n} - B_{i-1}, 0 \right\}$$

## Online Packet Scheduling

- Optimal solution for expected distortion -  
*Dynamic Programming:*
  - requires knowledge of the distortion function
  - high complexity
- Develop simpler schemes
- Use offline Programming loss property:

$$\beta_i \leq \max_{j:i \leq j \leq N} \left\{ \left\{ 1 - \frac{t_{i-1} + (j - i + 1)r}{\sum_{n=i}^{n=j} y_n} \right\} \cup \{0\} \right\}$$

- Equality holds for sufficiently large  $B$

## A Heuristic Online Packet Scheduling Algorithm

- Replace  $\beta_i$  by  $E[\beta_i]$  conditioned on known inputs
- Assumptions:
  - input stream is Stationary
  - 1<sup>st</sup> order Markov dependence between  $y_i$ s
  - $B$  is large
  - certain other approximations
- Online policy:

$$\beta_i(t, y) = \max_{q: 0 \leq q \leq N-i} \{1 - [t + (q+1)r]E[R_{0q}(y)]\}$$

$$R_{ij}(y_i) = \frac{1}{y_i + \sum_{n=i+1}^j y_n} \quad \forall i \leq j \leq N$$

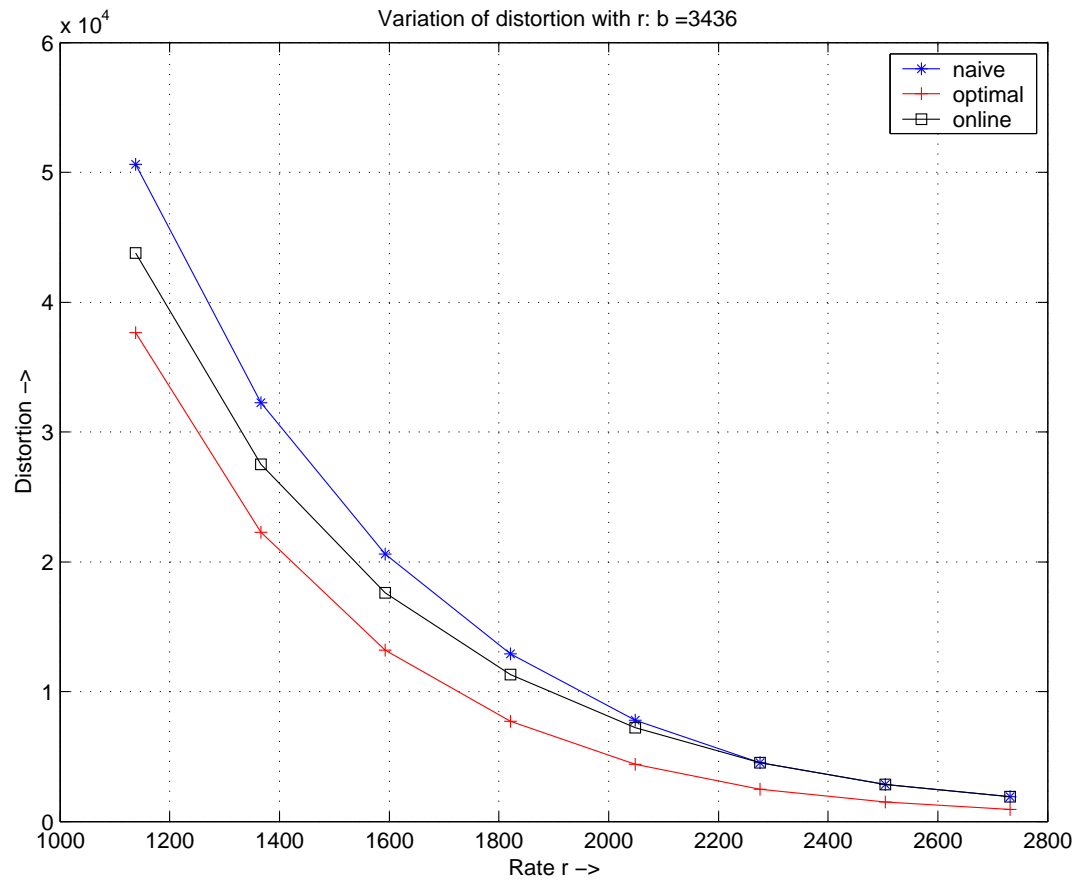
## Simulation Results

*Comparison of Distortion performance of Various Algorithms (Weighted Fractional Measure)*

- $r \approx 0.8 * E[y_n]$
- $B \approx 4 * S.D(y_n)$

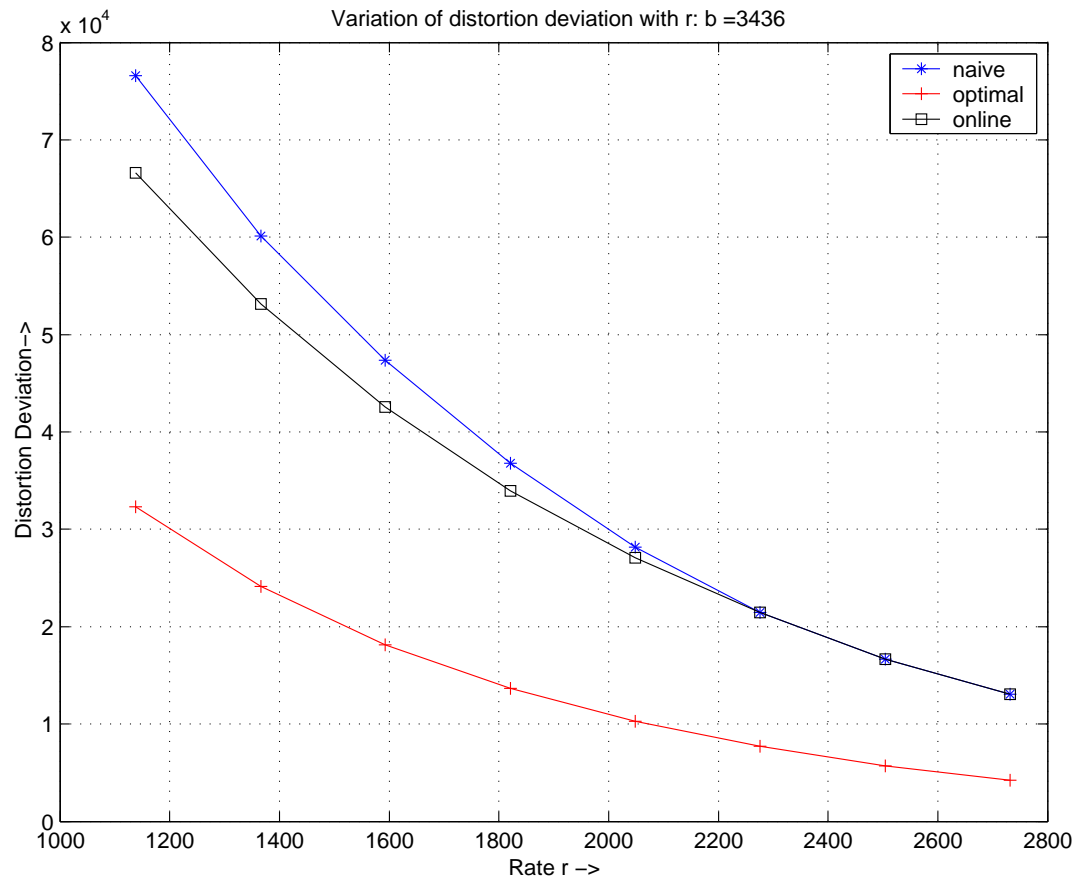
Trace	Distortion			S.D. in Distortion		
	Opt.	Naive	Online	Opt.	Naive	Online
bean(MPEG4)	1	1.3030	1.2535	1	2.0349	1.9547
bean(var)	1	1.6788	1.4679	1	2.6964	2.4908
formula(MPEG4)	1	1.2646	1.1441	1	1.5179	1.2939
formula(var)	1	1.5377	1.3353	1	2.0724	1.8620

## Dependence of Distortion on $r$

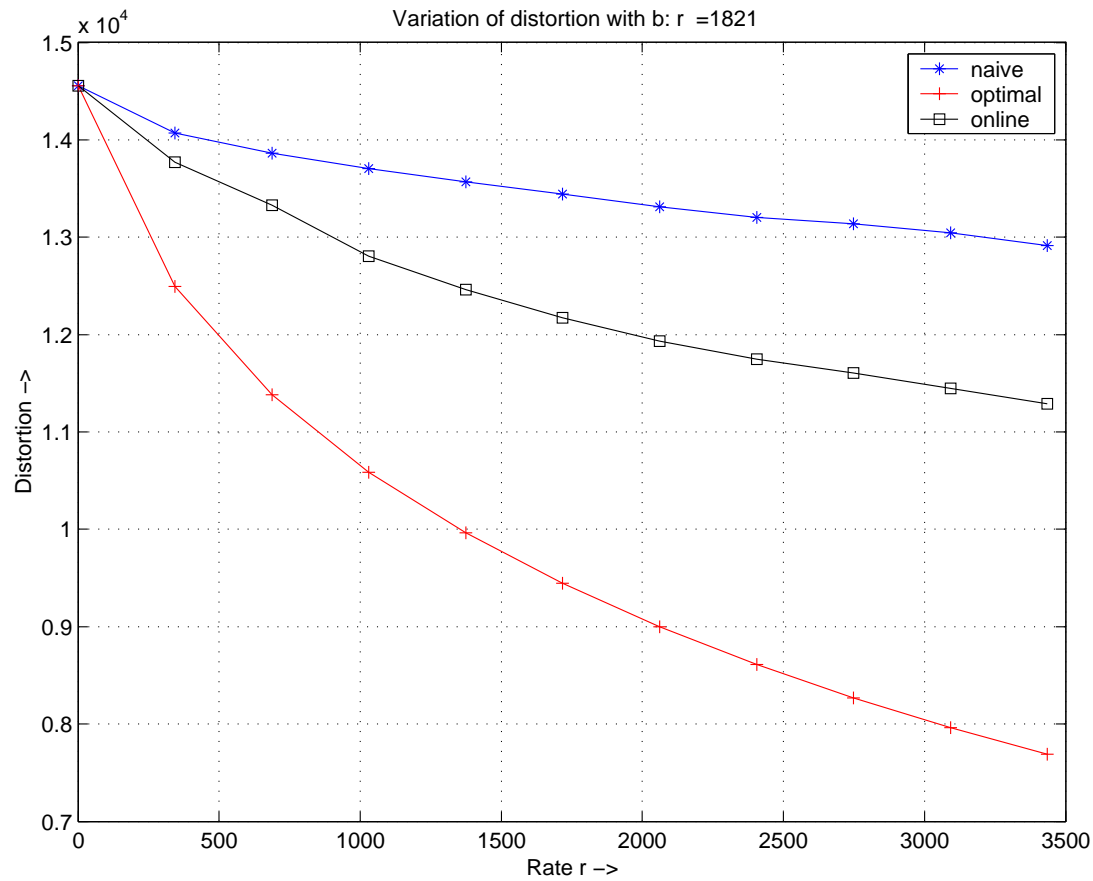




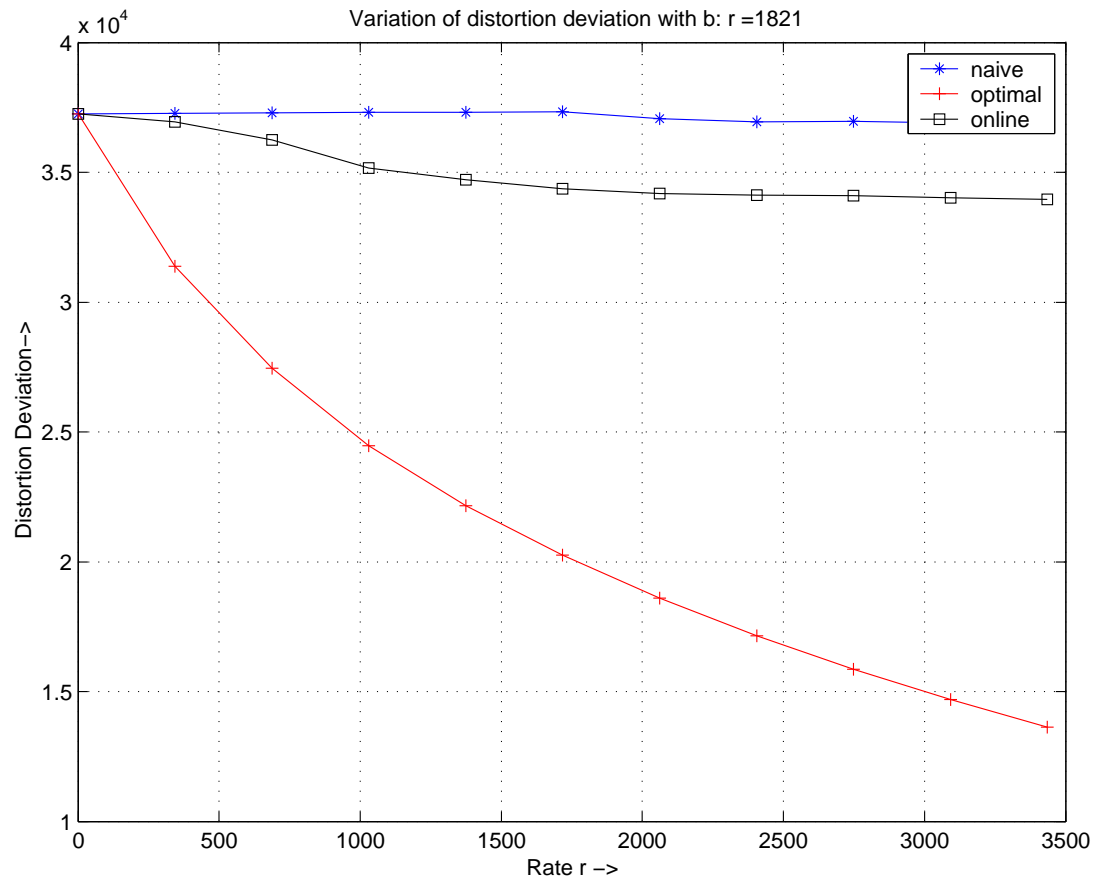
## Dependence of Distortion Deviation on $r$



## Dependence of Distortion on $B$



# Dependence of Distortion Deviation on $B$



## Conclusions and Future Directions

- *Packet length scheduling* - a useful technique to obtain better distortion performance for constrained streaming
- Performance improvements more marked for the offline case and for *small values of  $r$*  and *large values of  $B$*
- More efficient online scheduling techniques
- Joint selection of token bucket parameters and scheduling to optimize a joint criterion based on price and distortion

## Side Information Channels

- Information can be conveyed through means other than packets themselves, such as timing of packets
- Information in 'side information' channels may be distorted by a network randomizing delays in packet transmission
- In a QoS Network that offers loss and delay guarantees, the 'side information' channels become distortion-free for conforming flows

## Token Bucket Regulator Constrained Flow

The flow has two ways of conveying information -

- Packet contents
- Packet lengths that can be varied within the limits allowed by the regulator

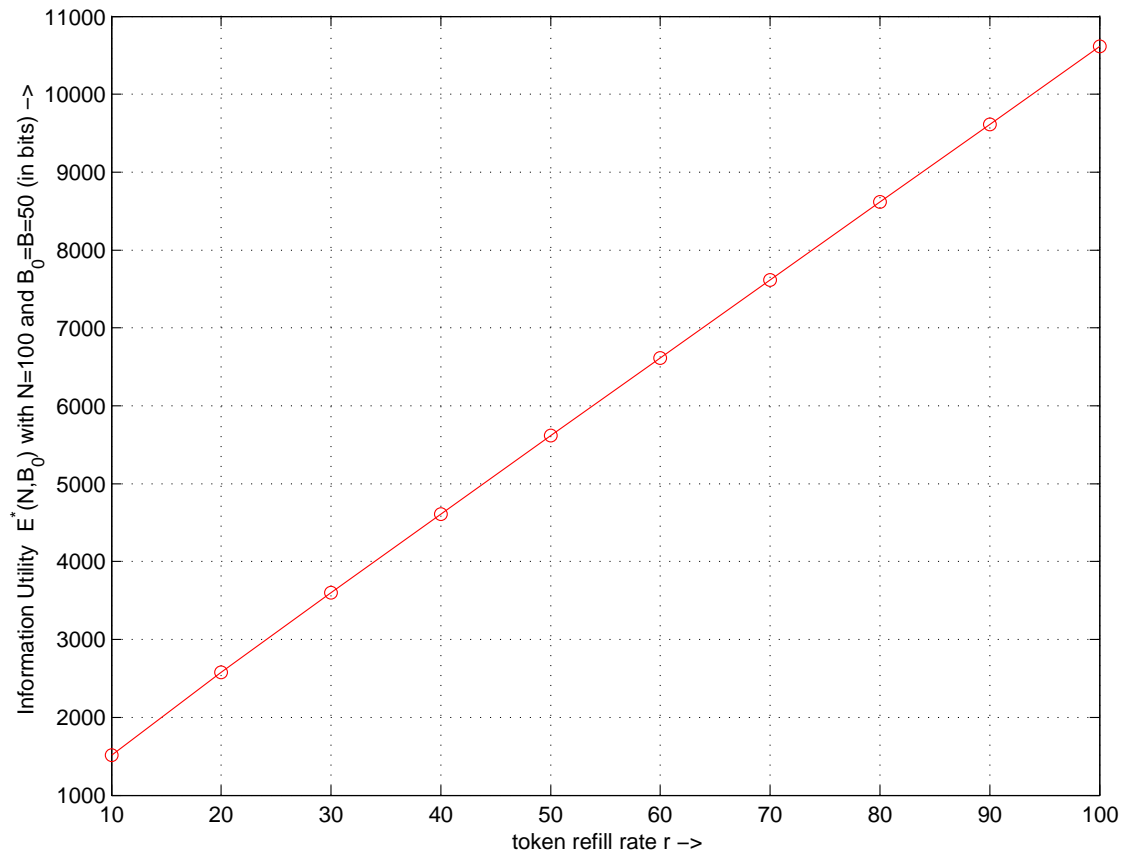
## Maximum average information of a duration $N$ flow

- $b$ : no. of residual tokens
- $n$ : No. of transmissions to be made
- $\mathcal{E}^*(b, n)$ : Maximum entropy of a duration  $n$  flow
- $p_i^*(b, n)$ : Optimal probability of choosing a packet of length  $i$

$$\mathcal{E}(b, n) = \sum_{i=0}^{i=b+r} p_i(b, n) [i \ln 2 - \ln p_i(b, n) + \mathcal{E}(b+r-i, n-1)]$$

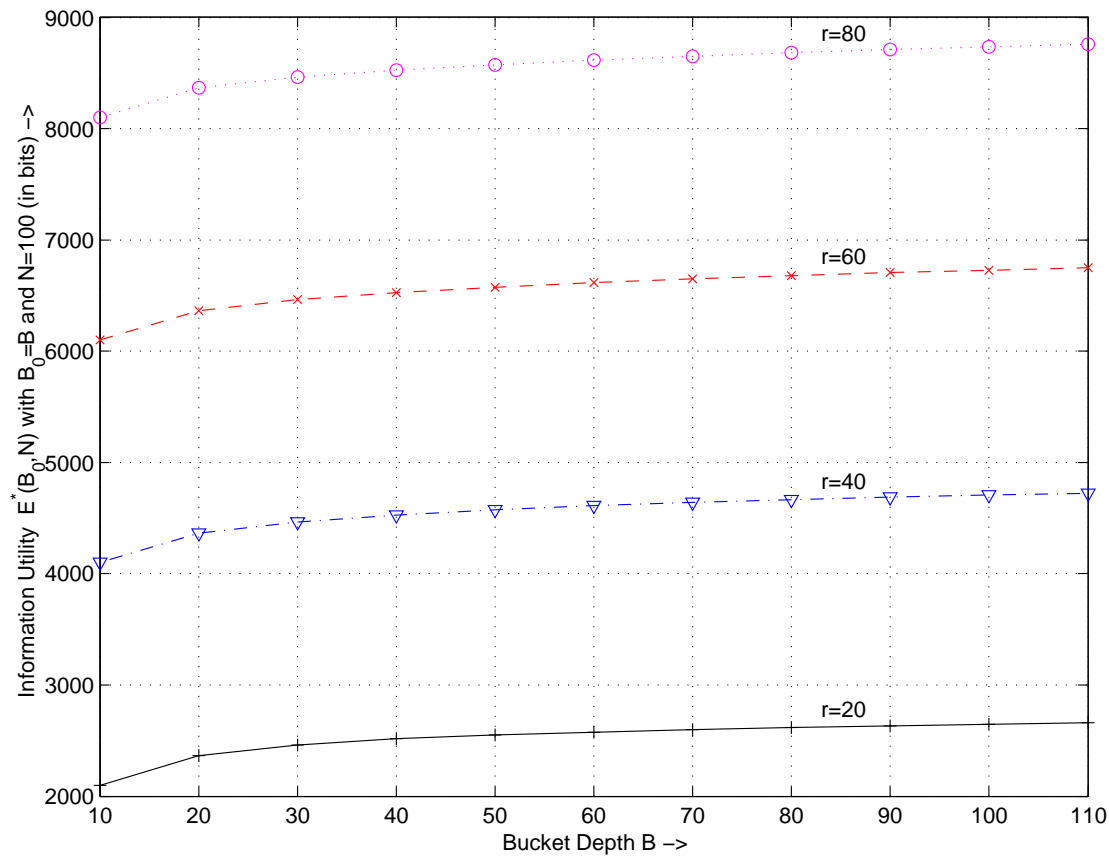
$$p_i^*(b, n) \propto e^{i \ln 2 + \mathcal{E}^*(b+r-i, n-1)}$$

## Dependence of Information Utility on $r$





## Dependence of Information Utility on $B$



## Comments and Future Directions

- Pricing will generally be linear in both  $r$  and  $B$
- Information Utility of a flow under Token Bucket Regulation increases *linearly with  $r$*  but *sub-linearly with  $B$*
- Evaluating the Information Utility under specific loss and delay guarantees

## Scheduling Transmissions for Power Efficiency

- Transmitter - a principal consumer of power, a key performance metric for wireless systems
- Two means of delay-power tradeoff possible in wireless channels
- Time varying nature of the channel
- Convex nature of the Power-Rate ( $P - R$ ) relation

## **Power saving: Time Varying Nature of the Channel**

- The wireless channel experiences periods of fades
- Transmissions at a particular rate during fades require much higher power than what would be required on an average
- Defer transmissions of packets arriving during fades to periods when the channel state is good
- Average Power consumption decreases at the cost of increased average delay

## Power saving: Convex $P - R$ relation

- The Shannon capacity relation for an AWGN channel

$$P = \sigma^2(e^R - 1) \quad (6)$$

- Practical communication schemes also show a convex  $P - R$  relation
- Gains in power savings possible by transmitting in appropriately sized batches.
- Buffering delay increases as a result
- Holds even for wired networks

## Example: Batch size scheduling for Power saving

- Suppose power is given by (6) with  $\sigma = 1$ . Consider an arrival process with  $r$  arrivals every alternate slot and the two transmission schemes -

**Scheme A:** Transmit as arrive

$$P_A = \frac{e^r - 1}{2}$$
$$D_A = 0$$

**Scheme B:** Transmit  $\frac{r}{2}$  packets in every slot

$$P_B = e^{\frac{r}{2}} - 1$$
$$D_B = \frac{1}{2}$$

- Delay budget and the arrival statistics limit the gains possible

## Discrete Rate Scheduling

- **Objective:** Minimize average power consumption subject to an average delay constraint  $\bar{d}$
- Why Discrete Rate Scheduling?  
Transmission at arbitrary rates demands
  - high transmitter complexity
  - a significant signaling overhead and receiver complexity

*Discrete Rate Schedulers or Constant Power Schedulers ( $\mathcal{P}$ )-*

Transmit only at from a finite set of pre-assigned rates

## System Model

- Discrete time (slotted) with constant channel conditions.
- iid packet arrival process  $a_n$

$$\begin{aligned}P[a_n = i] &= p_i \\E[a_n] &= \lambda\end{aligned}$$

- Stationary scheduling policy ( $u_n$ )  
Buffer length evolves as

$$x_{n+1} = x_n - u_n + a_n$$



## Greedy Schedulers

**$K$ -rate Scheduler ( $\mathcal{P}^K$ ):** Transmit from a set  $\mathcal{N}^K : \{N_1, N_2, \dots, N_K\}$  of  $K$ - non-zero rates

**Monotone Scheduler:** A deterministic Scheduler, where scheduling function  $u(\cdot)$  is increasing in buffer occupancy  $x$

**Greedy Scheduler:** A deterministic Scheduler that transmits as many as it can

The Greedy Scheduler achieves the best delay performance of the schedulers allowed to transmit at from the same set of rates

## Optimal 1-rate scheduler

For 1-rate schedulers

- Average power consumption is independent of scheduling policy and depends only on rate  $N$

$$C_N = \frac{\lambda}{N} E(N) \quad (7)$$

- $C_N$  increases with  $N$

Optimal scheduler is a greedy scheduler transmitting at rate  $N^*$  ( $G_{N^*}$ ), where  $N^*$  is the smallest  $N$  for which average delay  $< \bar{D}$

## Queueing Analysis of a Greedy 1-rate Scheduler

Steady state flow balance equations for  $G_N$ ,

$$\pi_i = \sum_{j=0}^{j=N-1} \pi_j p_{i-j} + \sum_{j=N}^{j=\infty} \pi_j p_{i-j+N}$$

Using transfer functions these can be written as,

$$\begin{aligned} \pi(z) &= p(z)\pi^0(z) + p(z)\frac{\pi(z) - \pi^0(z)}{z^N} \text{ or,} \\ \chi(z) &= \frac{p(z) - 1}{z^N - p(z)} \pi^0(z) \end{aligned} \quad (8)$$

where,  $\pi^0(z) = \sum_{i=0}^{i=N-1} \pi_i z^i$  and  $\chi(z) = \frac{\pi(z) - \pi^0(z)}{z^N}$

- When  $N > \lambda$  the queue is stable and  $\chi(z)$  must converge
- $\pi^0(z)$  is a polynomial of degree  $N - 1$

## Greedy 1-rate Scheduling: Geometric Arrival Process

$$p(z) = \frac{1 - \alpha}{1 - \alpha z}$$

$$\chi(z) = \frac{\alpha(z - 1)}{(z^N - 1) - \alpha(z^{N+1} - 1)} \pi^0(z)$$

When  $N > \lambda$ ,  $(z^N - 1) - \alpha(z^{N+1} - 1)$  has a root  $\beta > 1$

$$\chi(z) = \frac{1 - \beta^{-1}}{N(1 - \alpha)} \frac{1}{1 - \beta^{-1}z}$$

$$\pi(z) = \frac{1 - \beta^{-1}}{N} \frac{\sum_{i=0}^{N-1} z^i}{1 - \beta^{-1}z}$$

$$D_N = \frac{1 - \alpha}{\alpha} \left[ \frac{N - 1}{2} + \frac{1}{\beta - 1} \right]$$

## Greedy 1-rate Scheduling: Polynomial Arrival Processes

- $p(z)$  - a polynomial of degree  $R \geq N$ , and  $p_0 > 0$
- $z^N - p(z) = c(z - 1)g(z)h(z)$
- $h(z)$  has zeros outside the unit circle only and  $g(z)$ , only on or inside the unit circle

If ( $N > \lambda$ ), Rouché's theorem  $\Rightarrow$

- $g(z)$  is of degree  $N - 1$
- $g(z)$  has zeros within the unit circle only

## Greedy 1-rate Scheduling: Polynomial Arrival Processes (cont'd)

Then,

$$\begin{aligned}\pi^0(z) &= \frac{ch(1)}{N}g(z) \\ \pi(z) &= \frac{h(1)}{N} \frac{p(z) \sum_{i=0}^{N-1} z^i}{h(z)} \\ D_N &= 1 + \frac{N-1}{2\lambda} - \frac{h'(1)}{h(1)\lambda}\end{aligned}$$

## Greedy Multirate Scheduling

$K$ -rate scheduler with  $\mathcal{N}^K = \{N_1, N_2, \dots, N_K\}$

Steady state equation

$$\sum_{i=0}^{i=K-1} z^{N_i} \pi^i(z) + z^{N_K} \chi(z) = \mathbf{p}(z) \left[ \sum_{i=0}^{i=K-1} \pi^i(z) + \chi(z) \right] \quad (9)$$

$$\text{where, } \pi^i(z) = \sum_{j=N_i}^{j=N_{i+1}-1} \pi_j z^{j-N_i}$$

$$\chi(z) = \sum_{j=N_K}^{j=\infty} \pi_j z^{j-N_K}$$

## Greedy Multirate Scheduling: Geometric Arrival Process

From (9),

$$\chi(z) = \frac{\alpha(z-1)\pi^0(z) + \sum_{i=0}^{i=N_K-1} [\alpha(z^{N_{i+1}} - 1) - (z^{N_i} - 1)]\pi^i(z)}{(z^{N_K} - 1) - \alpha(z^{N_{K+1}} - 1)}$$

If  $(N_K > \lambda)$ , then  $(z^{N_K} - 1) - \alpha(z^{N_{K+1}} - 1) = -\alpha(z-1)(z-\beta)g(z)$ , where  $\beta > 1$ , and  $g(z)$  has zeros inside the unit circle  $\Rightarrow$

$$\chi(z) = -\frac{A}{z-\beta}$$

$$\pi^0(z) + \sum_{j=1}^{j=K-1} \pi^j(z) \left[ \sum_{i=0}^{i=N_j} z^i - \frac{1}{\alpha} \sum_{i=0}^{i=N_j-1} z^i \right] = Ag(z)$$

- $\pi^i(z)$  - obtained from repeated Euclidean divisions
- $A$  can be obtained using  $\pi(1) = 1$



## Greedy Multirate Scheduling: Polynomial Arrival Process

From (9),

$$\pi(z) = \mathbf{p}(z) \frac{z^{N_K} \widetilde{\pi}_l(z) - \pi_l(z)}{z^{N_K} - \mathbf{p}(z)}$$

$$\text{where, } \widetilde{\pi}_l(z) = \sum_{i=0}^{i=K-1} \pi^i(z)$$

If  $(z^{N_K} - \mathbf{p}(z)) = c(z - 1)g(z)h(z)$  and  $N_K > \lambda$ , then

$$\pi(z) = \mathbf{p}(z) \frac{\mathbf{u}(z)}{c\mathbf{h}(z)}$$

- $\mathbf{u}(z)$  - unknown polynomial of the degree of  $\widetilde{\pi}_l(z)$
- obtained by solving a system of  $(\deg(\widetilde{\pi}_l(z)) + 1)$  linear equations

## ***K*-rate Deterministic Monotone Scheduler**

- Characterized by transmission rates  $\mathcal{N}^K : \{N_1, N_2, \dots, N_K\}$  and transmission thresholds  $\mathcal{T}^K : \{t_1, t_2, \dots, t_K\}$
- *Scheduling Action*:  $u(x) \geq N_i \iff x \geq t_i$

Flow Balance Equation:

$$\begin{aligned} \boldsymbol{\pi}(z) &= \boldsymbol{p}(z) \left[ \sum_{i=0}^{i=K-1} z^{t_i - N_i} \boldsymbol{\pi}^i(z) + z^{t_K - N_K} \boldsymbol{\chi}(z) \right] \\ \Rightarrow \boldsymbol{\chi}(z) &= \frac{\boldsymbol{p}(z) \sum_{i=0}^{i=K-1} z^{t_i - N_i} \boldsymbol{\pi}^i(z) - \sum_{i=0}^{i=K-1} z^{t_i} \boldsymbol{\pi}^i(z)}{z^{t_K - N_K} (z^{N_K} - \boldsymbol{p}(z))} \end{aligned}$$

$$\text{where, } \widetilde{\boldsymbol{\pi}}_i(z) = \sum_{i=0}^{i=K-1} z^{t_i - N_i} \boldsymbol{\pi}^i(z)$$

Can be solved using techniques similar to the Greedy Scheduler case, with some modifications

## Minimum Power Requirement

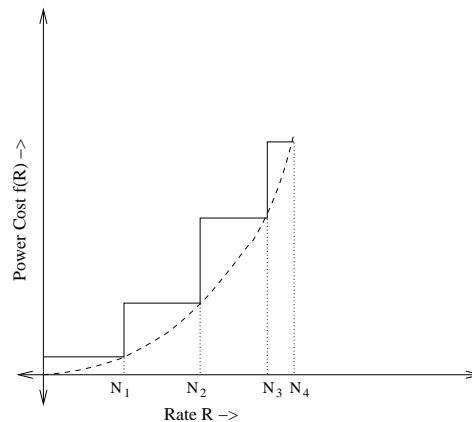
- Greedy Schedulers give the best delay performance
- The  $K$ -rate scheduler with the least power requirement (in the absence of delay constraints, i.e.,  $\bar{D} = \infty$ ) is characterized as follows

$$q_p^* = \frac{\lambda - N_p}{N_{p+1} - N_p}$$
$$q_{p+1}^* = \frac{N_{p+1} - \lambda}{N_{p+1} - N_p}$$
$$q_i^* = 0 ; i \neq p, p + 1$$

- $q_i^*$  : fractional time for which the optimal scheduler transmits at rate  $N_i$ ,
- $p$  : integer such that  $N_p < \lambda < N_{p+1}$
- $P_{min} = \frac{N_{p+1} - \lambda}{N_{p+1} - N_p} E(N_p) + \frac{\lambda - N_p}{N_{p+1} - N_p} E(N_{p+1})$

## Future Directions

- Nature of the Optimal Deterministic Scheduler:  
Is it monotone?
- The pseudo-all rate transmitter:
  - Uses stuffing or adds dummy packets to flush packets
  - Breaks the Greedy Scheduler Delay bound
  - Modified cost function



- Scheduling for Time-varying Channels

*Thank You*