## On Fading MACs with Asymmetric CSI

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Power control with average power constraints

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## Single User Power-Rate Strategies

> Channel law is  $\Psi(h)$ .

➤ Strategy 
$$h \to (P(h), C(h^2 P(h)))$$
 where  $C(x) \stackrel{\triangle}{=} \frac{1}{2} \log(1+x)$ .

#### > Throughput

$$C_{sum} = rac{1}{2}\int \log(1+h^2P(h))d\Psi(h)$$
 subj to  $\int P(h)d\Psi(h) = P^{avg}$ .

Optimal Power [Goldsmith97]

$${{P}^{st}(h)=\left(rac{1}{\lambda}-rac{1}{|h|^{2}}
ight)^{+}}$$





# Power-Rate Strategies



► Full CSI: opportunistic TDMA (best user) optimal [KH95].

Genrl CSI: adapt rate/power + coding across blocks [DasNarayan02]

Individual CSI and slow Fading: Adapt rate/power + block-wise coding and decoding [ElGamalKim11], [PillaiDey12], [Sreekumar13}].









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## Adaptive Sum Capacity $C_{\Psi}$

$$\triangleright \hat{h}_i = \bar{h}\mathbb{I}_{\{h_i \in S_f\}} + h_i\mathbb{I}_{\{h_i \in S_d\}}.$$

>  $P_i(\hat{h}_i), R_i(\hat{h}_i)$ : power/rate of user *i*.









> Channels are continuous-valued, admitting respective pdfs.

$$\Psi(\bar{h}) = \prod_{i \in S_f} \Psi_f(h_i) \prod_{i \in S_d} \Psi_d(h_i).$$

> For simplicity: the average powers remain same within each group.

> The paper-version has limited  $\Psi_f(\cdot)$  to Rayleigh (*not necessary*).

Adaptive Sum-capacity

$$C_{\Psi} = \max \int d\Psi(\bar{h}) \left( \sum_{j \in S_{f}} R_{j}(\bar{h}) + \sum_{i \in S_{d}} R_{i}(h_{i}) \right)$$





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> Full CSI arguments [KnoppHumblet95] cannot be invoked as such, as the users in  $S_d$  do not know the best user.

The best user in S<sub>f</sub> can improve the received signal power, and sum-rate<sup>1</sup>.







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Claim: From the set  $S_f$ , it is sufficient to schedule arg max  $h_i^2$  for transmission, while remaining users in this group stay silent.

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## Theorem

 $\exists \ \lambda_1 \geq 0$  and a threshold function  $\gamma(h_2)$  such that

$$C_{\Psi} = rac{1}{2}\mathbb{E}\left[\log(1+h_1^2P_1^*(h_1,h_2)+h_2^2P_2^*(h_2))
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where

$$P_2^*(h_2) = \left(\frac{\gamma^2(h_2)}{\lambda_1 h_2^2} - \frac{1}{h_2^2}\right)^+ \mathbb{I}_{\{h_2 \neq 0\}} \text{ and } P_1^*(h_1, h_2) = \left(\frac{1}{\lambda_1} - \frac{\gamma^2(h_2)}{\lambda_1 h_1^2}\right)^+$$

>  $\lambda_1$  and  $\gamma(h_2)$  determined by  $\int d\Psi(\bar{h}) P_i^*(\bar{h}) = P_i^{avg}, i = 1, 2.$ 

> Achievability: successive cancellation, user 1 followed by user 2.

►  $\gamma(h_2) = \lambda_1$  whenever  $P^*(h_2) = 0$ ,  $\Rightarrow$  usual water-filling for user 1.



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# Waterfilling Illustration



Figure: Power allocation for User 1

The users cooperate to accommodate each other and maximize the sum-rate.





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# Comparison





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$$\left(\sum_{i\in S_d} P_i^{avg}, 0, 0, \cdots, 0\right).$$

#### Lemma

The adaptive sum-capacity obeys  $\mathcal{C}_\psi \leq \mathcal{C}_{\hat{\Psi}}$ .

$$C_{\Psi} = \int \sum_{i \in S_f} R_i(\bar{h}) d\Psi(\bar{h}) + \int d\Psi_d(h) \sum_{i \in S_d} R_i(h)$$





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$$= \int \left( \sum_{i \in S_{f}} R_{i}(h_{1}, \cdots, h_{L_{f}}, h) + \sum_{i \in S_{d}} R_{i}(h) \right) d\Psi(h_{1}, \cdots, h_{L_{f}}, h)$$





## Lemma

$$C_{\Psi} \geq C_{\hat{\Psi}}$$

- ► Under TDM, let user  $i \in S_d$  transmit for a fraction  $\frac{P_i^{\text{avg}}}{\sum_{i \in S_d} P_i^{\text{avg}}}$ .
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### Proof.

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Theorem  $C_{\psi}$  is given by the sum-capacity of a two-user asymmetric CSI MAC with

$$\hat{\Psi}_f(h) = \prod_{i \in S_f} \Psi_f(h)$$
 and  $\hat{\Psi}_d(h) = \Psi_d(h)$ 

and the respective power constraints of  $|S_f|P_f^{avg}$  and  $\sum_{i \in S_d} P_i^{avg}$ .





- We computed the power-controlled adaptive sum-capacity of some popular Gaussian MACs with asymmetric state information at the transmitters.
- A threshold based power control is optimal for two users, which can be extended to multiple users.
- We believe that the same kind of behavior holds true even when the informed set S<sub>f</sub> has non-identical users.

