EE 720: An Introduction to Number Theory and Cryptography (Spring 2018)

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## 1 Lecture Plan

• Primality Testing Algorithms

## 2 Recap

- Instance of a group-generation algorithm  $\mathcal{G}$  with input being the security parameter  $1^n$ 
  - 1. Generate a uniform n-bit prime
  - 2. Generate an *l*-bit prime p such that q divides p-1
  - 3. Choose a uniform  $h \in \mathbb{Z}_p^*$  with  $h \neq 1$
  - 4. Set  $g = h^{(p-1)/q} \mod p$
  - 5. Return p, q, g.
- Let GenRSA be a PPT algorithm that on input  $1^n$ , outputs a modulus N that is the product of two n-bit primes, along with integers e, d > 1 satisfying  $ed = 1 \mod \phi(N)$ .
- But how to randomly generate *n*-bit primes? Generate a random *n*-bit odd integer and check whether it is prime.

# **3** Primality Testing

- Fermat's Little Theorem: Let p be a prime. Then for every integer a, we have  $a^p = a \mod p$ .
- For  $a \in \{1, 2, ..., n-1\}$ , if  $a \notin \mathbb{Z}_n^*$  then  $a^{n-1} \neq 1 \mod n$ , i.e. such an a is a witness for the compositeness of n. This is because  $gcd(a, n) \neq 1$  implies  $gcd(a^{n-1}, n) \neq 1$ . Then  $a^{n-1} \neq 1 \mod n$ . To see why, recall that the gcd of two integers is the smallest positive integer which can be written as a linear combination of those integers.
- But integers in the range 1, 2, ..., n-1 not belonging to  $\mathbb{Z}_n^*$  are rare. If n is prime, then there are no such integers as  $\mathbb{Z}_n^* = \{1, 2, ..., n-1\}$ . For composite  $n = p_1^{e_1} \cdots p_k^{e_k}$  where  $p_1, p_2, ..., p_k$  are distinct primes and  $e_1, e_2, ..., e_k$  are positive integers, the cardinality of  $\mathbb{Z}_n^*$ is  $\phi(n) = p_1^{e_1-1}(p_1-1) \cdots p_k^{e_k-1}(p_k-1)$ . Then the probability that a random element in  $\{1, 2, ..., n-1\}$  is in  $\mathbb{Z}_n^*$  is given by

$$\frac{\phi(n)}{n-1} \approx \frac{\phi(n)}{n} = \left(1 - \frac{1}{p_1}\right) \left(1 - \frac{1}{p_2}\right) \cdots \left(1 - \frac{1}{p_k}\right).$$

If  $p_1, p_2, \ldots, p_k$  are large primes, then this fraction is close to 1. If they are small primes, then it is easy to check that n is composite and fancy primality testing algorithms are not required.

- With this context, let us focus on the integers in  $\mathbb{Z}_n^*$ . For an integer n, we say that the integer  $a \in \mathbb{Z}_n^*$  is a witness for compositeness of n if  $a^{n-1} \neq 1 \mod n$ .
- For  $a \in \{1, 2, ..., n-1\}$ , if  $a \in \mathbb{Z}_n^*$  then gcd(a, n) = 1 and  $gcd(a^{n-1}, n) = 1$ . This implies that  $Xa^{n-1} + Yn = 1$  for some integers X, Y. So  $Xa^{n-1} = 1 \mod n$  but  $a^{n-1} \mod n$  may or may not be equal to 1. So the *a*'s in  $\mathbb{Z}_n^*$  may or may not be witnesses.
- **Theorem:** If there exists a witness (in  $\mathbb{Z}_n^*$ ) that *n* is composite, then at least half the elements of  $\mathbb{Z}_n^*$  are witnesses that *n* is composite.

Proof. Consider the subset H of  $\mathbb{Z}_n^*$  which consists of elements  $a \in \mathbb{Z}_n^*$  satisfying  $a^{n-1} = 1 \mod n$ . In other words, H is the set of elements in  $\mathbb{Z}_n^*$  which are **not witnesses**. H is a subgroup of  $\mathbb{Z}_n^*$ . By the hypothesis,  $H \neq \mathbb{Z}_n^*$ . By Lagrange's theorem, the order of H is a proper divisor of  $|\mathbb{Z}_n^*|$ . Since the largest proper divisor of an integer m is possibly m/2, the size of H is at most  $|\mathbb{Z}_n^*/2|$ . So at least half the elements of  $\mathbb{Z}_n^*$  are witnesses that n is composite.

- Suppose there is a composite integer n for which a witness for compositeness exists. Consider the following procedure which fails to detect the compositeness of n with probability at most  $2^{-t}$ .
  - 1. For i = 1, 2, ..., t, repeat steps 2 and 3.
  - 2. Pick *a* uniformly from  $\{1, 2, ..., n 1\}$ .
  - 3. If  $a^{n-1} \neq 1 \mod n$ , return "composite".
  - 4. If all the t iterations had  $a^{n-1} = 1 \mod n$ , return "prime".
- But there exist composite numbers for which  $a^{n-1} = 1 \mod n$  for all integers  $a \in \mathbb{Z}_n^*$ . These are called *Carmichael numbers*. The number  $561 = 3 \cdot 11 \cdot 17$  is one such number.

#### 3.1 Miller-Rabin Primality Test

- Lemma: We say that  $x \in \mathbb{Z}_n^*$  is a square root of 1 modulo n if  $x^2 = 1 \mod n$ . If n is an odd prime, then the only square roots of 1 modulo n are  $\pm 1 \mod n$ .<sup>1</sup>
- The Miller-Rabin primality test is based on the above lemma.
- By Fermat's little theorem, if n is an odd prime  $a^{n-1} = 1 \mod n$  for all  $a \in \{1, 2, ..., n-1\}$ . Suppose  $n - 1 = 2^r u$  where  $r \ge 0$  is an integer and u is an odd integer. Then

$$a^{u} \mod n, \ a^{2u} \mod n, \ a^{2^{2}u} \mod n, \ a^{2^{3}u} \mod n, \ \dots, \ a^{2^{r}u} \mod n$$

is a sequence where each element is the square of the previous element. In other words, each element is the square root modulo n of the next element. Since the last element in the sequence is a 1, by the above lemma the previous elements should feature a -1 somewhere. So one of two things can happen:

<sup>&</sup>lt;sup>1</sup>Note that  $-1 \mod n = n - 1 \in \mathbb{Z}_n^*$ 

- Either  $a^u = 1 \mod n$ . In this case, the whole sequence has only ones.
- Or one of  $a^u \mod n$ ,  $a^{2u} \mod n$ ,  $a^{2^{2u}} \mod n$ ,  $a^{2^{3u}} \mod n$ ,  $\dots$ ,  $a^{2^{r-1}u} \mod n$  is equal to -1.
- We say that  $a \in \mathbb{Z}_n^*$  is a strong witness that n is composite if both the above conditions do not hold.
- We say that a integer n is a prime power if  $n = p^r$  where  $r \ge 1$ .
- Theorem: Let n be an odd number that is not a prime power. Then at least half the elements of  $\mathbb{Z}_n^*$  are strong witnesses that n is composite.

# 4 References and Additional Reading

• Sections 8.2.1, 8.2.2 from Katz/Lindell