

# Automation possibilities in metro-rail operations planning

Madhu Belur, Electrical Engineering Dept, IIT Bombay

99874 66 279, [belur@iitb.ac.in](mailto:belur@iitb.ac.in)

This talk will soon be available at

[www.ee.iitb.ac.in/%7Ebelur/talks](http://www.ee.iitb.ac.in/%7Ebelur/talks)

[www.ee.iitb.ac.in/%7Ebelur/railways/workshop](http://www.ee.iitb.ac.in/%7Ebelur/railways/workshop)

[www.ee.iitb.ac.in/%7Ebelur/railways](http://www.ee.iitb.ac.in/%7Ebelur/railways)

Group: Narayan Rangaraj and others from IIT Bombay

[narayan.rangaraj@iitb.ac.in](mailto:narayan.rangaraj@iitb.ac.in)

I-Metro-Workshop, Mar 2023, I-Metro workshop

# Outline of the talk

---

- Need for software tools in operations planning
- Data availability/digitization: machine learning/artificial intelligence
- Tools recently developed by our group in railway operations
- Other tools (non-railway) developed by our group
- FOSS and ‘development-participation & owning’ of tools
- Suggestions about tool-specifications: primarily from ‘shop floor’

# Outline of the talk

---

- Need for software tools in operations planning
- Data availability/digitization: machine learning/artificial intelligence
- Tools recently developed by our group in railway operations
- Other tools (non-railway) developed by our group
- FOSS and ‘development-participation & owning’ of tools
- Suggestions about tool-specifications: primarily from ‘shop floor’

**Quantity** of service: more throughput, more services, more persons served

# Outline of the talk

---

- Need for software tools in operations planning
- Data availability/digitization: machine learning/artificial intelligence
- Tools recently developed by our group in railway operations
- Other tools (non-railway) developed by our group
- FOSS and ‘development-participation & owning’ of tools
- Suggestions about tool-specifications: primarily from ‘shop floor’

**Quantity** of service: more throughput, more services, more persons served

**Quality** of service: high-average speed, low waiting-time, high frequency, medium occupancy within metros)

# Outline of the talk

- Need for software tools in operations planning
- Data availability/digitization: machine learning/artificial intelligence
- Tools recently developed by our group in railway operations
- Other tools (non-railway) developed by our group
- FOSS and ‘development-participation & owning’ of tools
- Suggestions about tool-specifications: primarily from ‘shop floor’

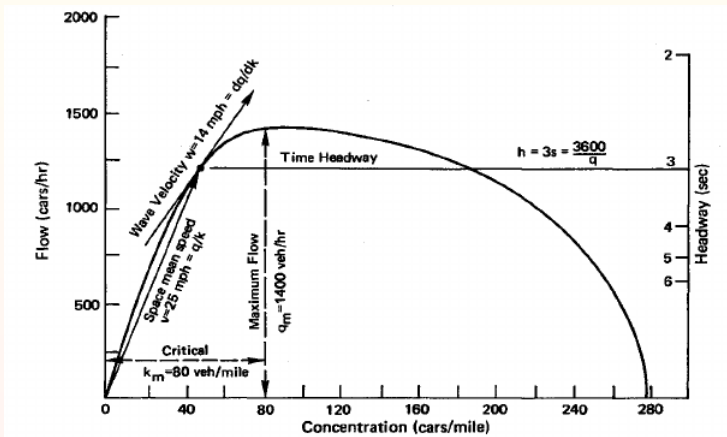
**Quantity** of service: more throughput, more services, more persons served

**Quality** of service: high-average speed, low waiting-time, high frequency, medium occupancy within metros)

Need to operate at high quantity/quality of service

# Vehicles flow models: flow rate (throughput) vs density

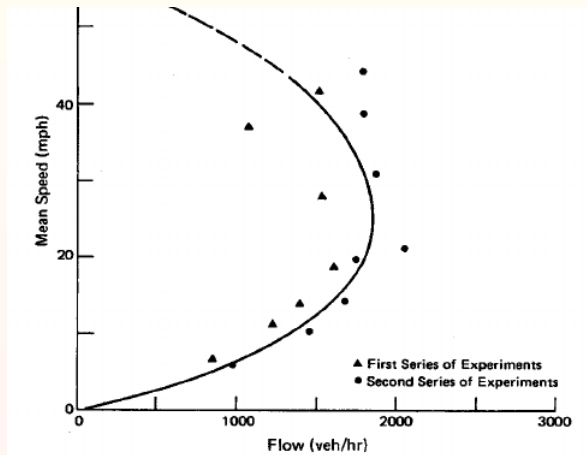
(Partly relevant for trains)



Source: Traffic Flow Theory, D.L. Gerlough and M.J. Huber (1975)  
Throughput **peaks** at an optimum density

# Vehicles flow models: average speed vs flow

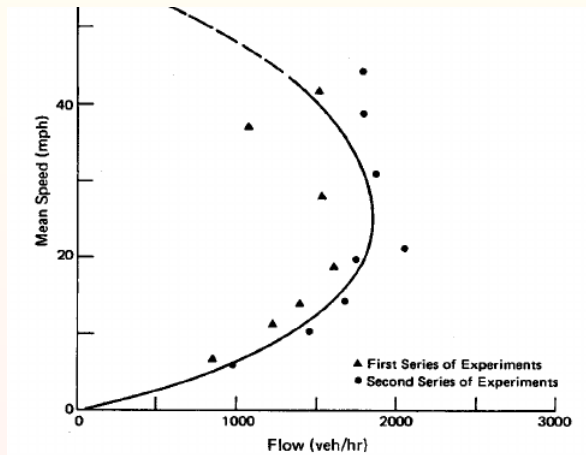
Quality of service vs quantity of service



Source: Traffic Flow Theory, D.L. Gerlough and M.J. Huber (1975)

# Vehicles flow models: average speed vs flow

Quality of service vs quantity of service



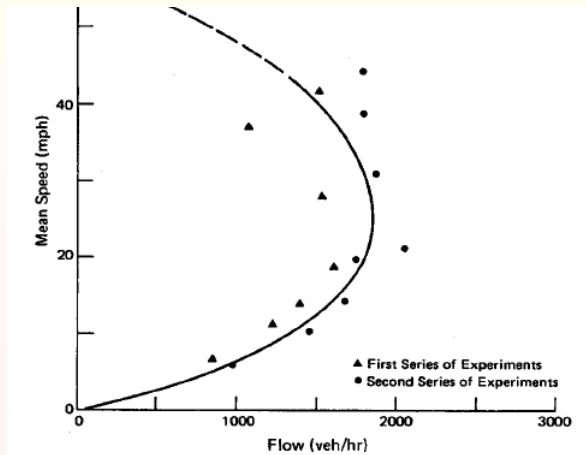
Source: Traffic Flow Theory, D.L. Gerlough and M.J. Huber (1975)

**Bad** for throughput: too **low** speed, also



# Vehicles flow models: average speed vs flow

Quality of service vs quantity of service



Source: Traffic Flow Theory, D.L. Gerlough and M.J. Huber (1975)

**Bad** for throughput: too **low** speed, also **too high** speed!

# Mumbai Suburban Rail Network

Western		Central	
Churchgate - Virar (Fast)	Mumbai CST - Kasara / Khopoli (Fast)	Mumbai CST - Kalyan (Slow)	Vasai Road - Diva
Churchgate - Borivili (Slow)	Mumbai CST - Kalyan (Slow)	Thane - Navi Mumbai	Nerul - CBD - Uran (Under Construction)
Virar - Dahahu MEMU Shuttle	Mumbai CST - Andheri / Panvel	MRTS (Under Construction)	

Stop & long (dedicated suburban lines and facilities are shared with Indian Railways)

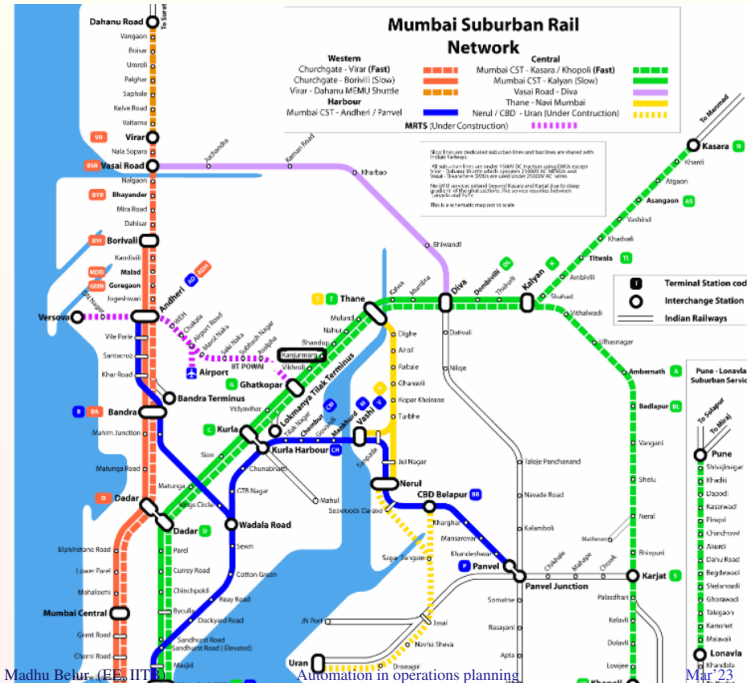
All station lines are under ROAD (Railway) project (with airport Virar - Dahahu MEMU operation 2009/10, BRTS and other. The new BRTS are scheduled 2010/11 AC onwards)

Highly services are provided between Kasara and Kurla due to steep gradients of the high altitude. The service routes between Kasara and Kurla

This is a schematic map not to scale

- T** Terminal Station code
- Interchange Station
- Indian Railways

- ### Pune - Lonavla Suburban Service
- To Solapur
  - To Nashik
  - Pune
  - Sivajinagar
  - Shadi
  - Dagd
  - Kasurwad
  - Finapi
  - Chandane
  - Asari
  - Ganv Road
  - Bagdewadi
  - Shelarwadi
  - Ghorwad
  - Takgaon
  - Kansurwad
  - Makadi
  - Lonavla
  - Shivajinagar
  - To Karjat



# Constraints

---

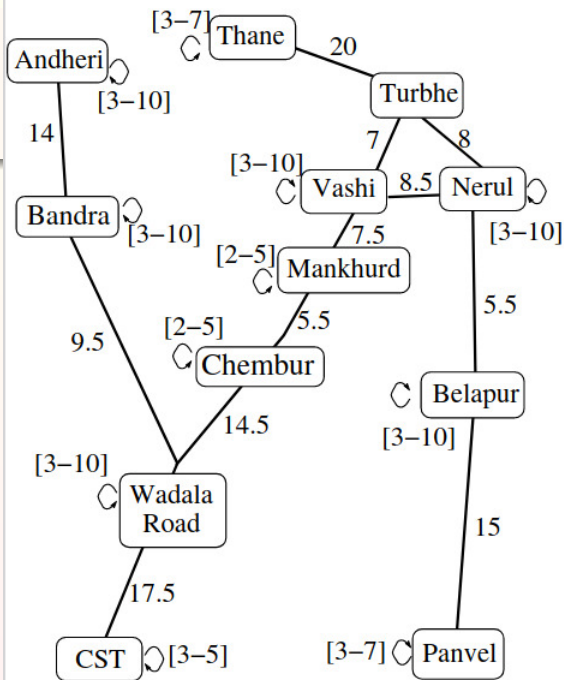
## Hard constraints:

- Headway: safety
- Frequency of service: demands: origin/destination services
- Traversal times
- Turn-around constraints: ‘rake linking’
- Platform dwell/occupancy constraints

## Soft constraints:

- Spacing between consecutive ‘similar’ services

# Mumbai harbour-line



## Constraints tightest at CSTM (“VT”)

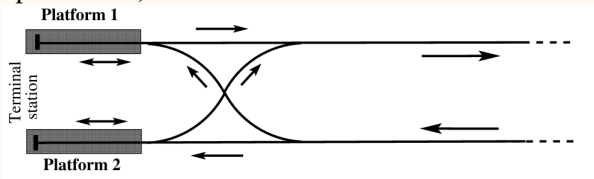
Platform **vacating** constraints:

An exit (from platform 2) hinders consecutive two entries

## Constraints tightest at CSTM (“VT”)

Platform **vacating** constraints:

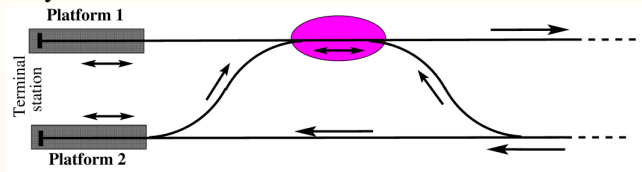
An exit (from platform 2) hinders consecutive two entries



- Ideal: ‘Scissor’ crossing
- Fastest exit and least ‘hindrance’ to following entries
- Curves are on only a small distance: hence smaller distance of low-speed constraints
- Pretty expensive (but not exorbitant)

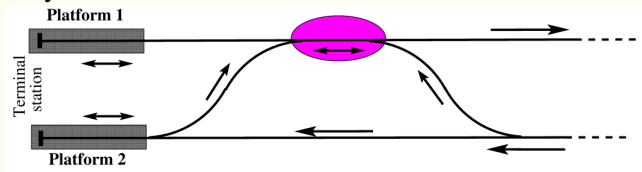
# Platform-asymmetric layouts at a terminal

Not-too-bad layout:



# Platform-asymmetric layouts at a terminal

Not-too-bad layout:

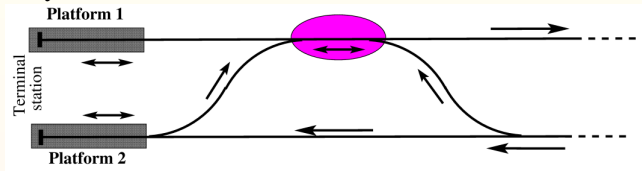


But

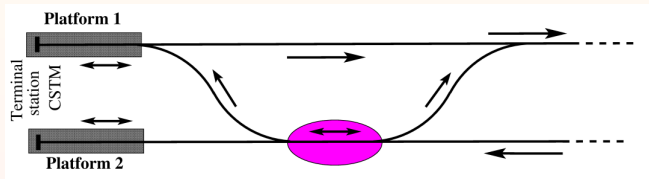


# Platform-asymmetric layouts at a terminal

Not-too-bad layout:



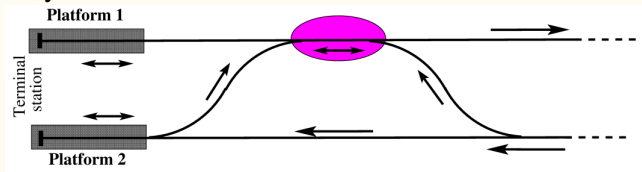
But



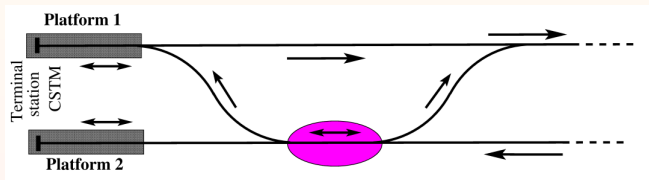
**Higher hindrance** layout: at CSTM (due to curvature constraints: not displayed)

# Platform-asymmetric layouts at a terminal

Not-too-bad layout:



But

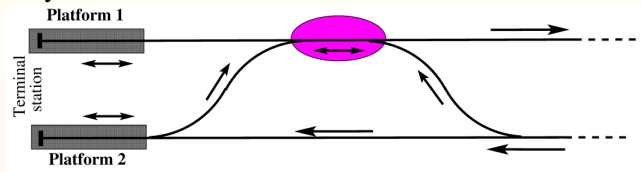


**Higher hindrance** layout: at CSTM (due to curvature constraints: not displayed)

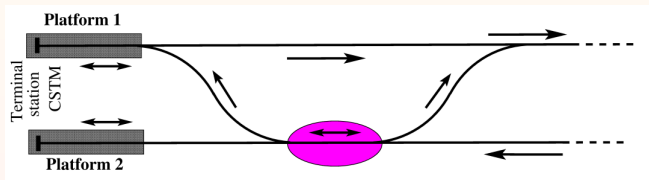
- Exit from platform 2 delays entry into platform 1

# Platform-asymmetric layouts at a terminal

Not-too-bad layout:



But

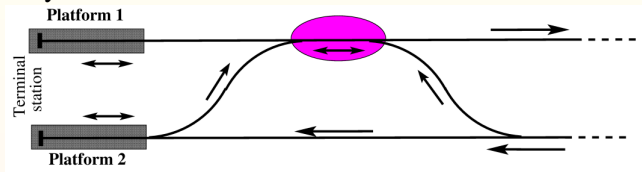


**Higher hindrance** layout: at CSTM (due to curvature constraints: not displayed)

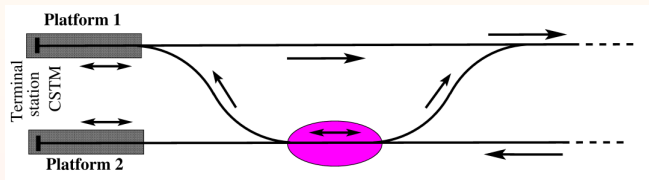
- Exit from platform 2 delays entry into platform 1
- Higher hindrance in the lower layout

# Platform-asymmetric layouts at a terminal

Not-too-bad layout:



But



**Higher hindrance** layout: at CSTM (due to curvature constraints: not displayed)

- Exit from platform 2 delays entry into platform 1
- Higher hindrance in the lower layout
- Lower layout: curve after bottleneck portion: slower exit

# Inputs to the tool

---

Inputs: different infrastructural parameters:

- Stations, network layout
- Passenger demands, traversal times
- Turnaround times at terminals

Output: timetable meeting all constraints with least number of rakes

# Case Study: Harbour Line Network

Current Status: Mumbai Harbour line network

- 12 major stations
- 49 rakes in service in peak time - 38 for Harbour and 11 for Trans Harbour

For a 3 hour peak timetable, about:

- 750 Departure-Arrival Events
- 40500 Headway Variables (Integer or Binary)
- 10000 Linkage Variables (Integer or Binary)
- 6500 Platform Variables (Integer or Binary)
- 3200 Precedence Variables for Platform Allocation (Binary)

# Case Study: Harbour Line Network

---

## Resources Used:

- Modelling: AMPL to model all the constraints

# Case Study: Harbour Line Network

---

## Resources Used:

- Modelling: AMPL to model all the constraints
- Solver: Gurobi



# Case Study: Harbour Line Network

## Resources Used:

- Modelling: AMPL to model all the constraints
- Solver: Gurobi
- Python & Bash Scripts for pre-processing and post-processing

# Case Study: Harbour Line Network

## Resources Used:

- Modelling: AMPL to model all the constraints
- Solver: Gurobi
- Python & Bash Scripts for pre-processing and post-processing

Trying Pyomo and PuLP to replace AMPL/Gurobi

# Case Study: Harbour Line Network

## Resources Used:

- Modelling: AMPL to model all the constraints
- Solver: Gurobi
- Python & Bash Scripts for pre-processing and post-processing

Trying Pyomo and PuLP to replace AMPL/Gurobi

Status: able to save 3 rakes (out of 45 of the current timetable)

# Case Study: Harbour Line Network

## Resources Used:

- Modelling: AMPL to model all the constraints
- Solver: Gurobi
- Python & Bash Scripts for pre-processing and post-processing

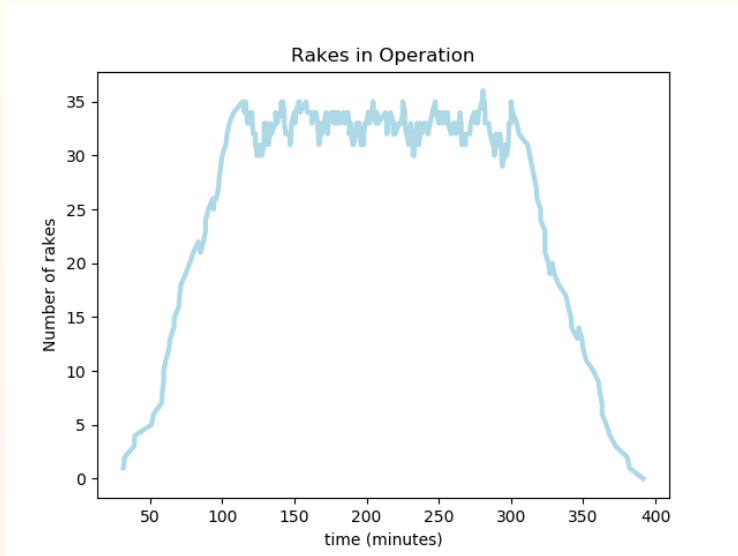
Trying Pyomo and PuLP to replace AMPL/Gurobi

Status: able to save 3 rakes (out of 45 of the current timetable)

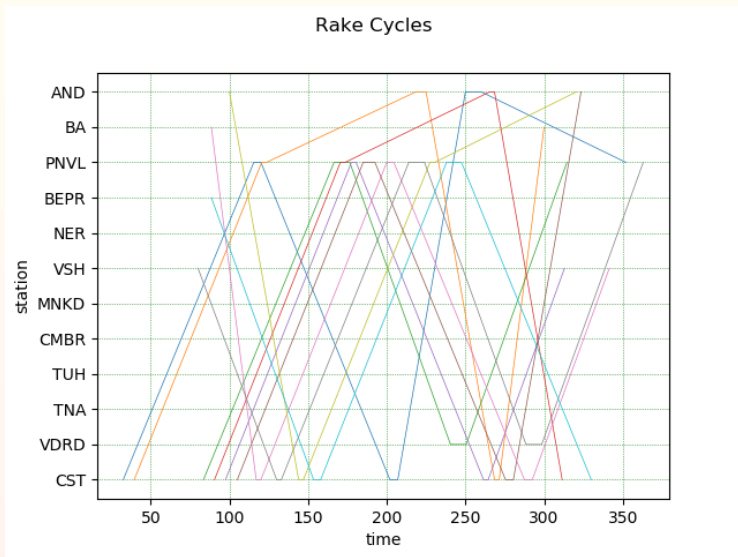
# Case Study: Platform Allocation at CST

ODD-Arrival-dep-events			EVEN-Arrival-dep-events		
Prev-Departure	Next-odd-Arrival	OccupiedFor	Prev-Departure	Next-even-Arrival	OccupiedFor
5:00	5:04	4.0 minutes	5:03	5:07	4.0 minutes
5:07	5:10	3.0 minutes	5:10	5:14	4.0 minutes
5:13	5:18	5.0 minutes	5:17	5:24	7.0 minutes
5:21	5:27	6.0 minutes	5:27	5:33.5	6.5 minutes
5:30	5:39	9.0 minutes	5:38	5:42	4.0 minutes
5:42	5:45	3.0 minutes	5:45	5:49	4.0 minutes
5:48.5	5:53	4.5 minutes	5:52	5:59	7.0 minutes
5:58	6:02	4.0 minutes	6:04	6:08	4.0 minutes
6:07	6:11	4.0 minutes	6:11	6:15	4.0 minutes
6:14	6:18	4.0 minutes	6:18	6:22	4.0 minutes
6:21	6:28	7.0 minutes	6:27	6:34	7.0 minutes
6:33	6:40	7.0 minutes	6:39	6:44	5.0 minutes
6:45	6:48	3.0 minutes	6:48	6:53	5.0 minutes
6:53	6:56	3.0 minutes	6:57	7:01	4.0 minutes

## Case Study: Rakes in Operation vs time



# Case Study: Rake Cycles vs time



# Large data problems: metro/suburban timetabling

- Input: services/frequencies
- Constraints: headway, turn-around, platform **occupation**



# Large data problems: metro/suburban timetabling

- Input: services/frequencies
- Constraints: headway, turn-around, platform **occupation** & **vacating**

# Large data problems: metro/suburban timetabling

- Input: services/frequencies
- Constraints: headway, turn-around, platform **occupation** & **vacating**
- Output: rake-cycles and the timetable

# Large data problems: metro/suburban timetabling

- Input: services/frequencies
- Constraints: headway, turn-around, platform **occupation** & **vacating**
- Output: rake-cycles and the timetable
- Number of rakes: need to optimize

# Large data problems: metro/suburban timetabling

- Input: services/frequencies
- Constraints: headway, turn-around, platform **occupation** & **vacating**
- Output: rake-cycles and the timetable
- Number of rakes: need to optimize
- Constructive timetables: rakes/services are incrementally introduced

# Large data problems: metro/suburban timetabling

- Input: services/frequencies
- Constraints: headway, turn-around, platform **occupation** & **vacating**
- Output: rake-cycles and the timetable
- Number of rakes: need to optimize
- Constructive timetables: rakes/services are incrementally introduced
- Can handle **rake-cycles** constructively:

# Large data problems: metro/suburban timetabling

- Input: services/frequencies
- Constraints: headway, turn-around, platform **occupation** & **vacating**
- Output: rake-cycles and the timetable
- Number of rakes: need to optimize
- Constructive timetables: rakes/services are incrementally introduced
- Can handle **rake-cycles** constructively: still **difficult** task

Constrained programming based solvers:

# Large data problems: metro/suburban timetabling

- Input: services/frequencies
- Constraints: headway, turn-around, platform **occupation** & **vacating**
- Output: rake-cycles and the timetable
- Number of rakes: need to optimize
- Constructive timetables: rakes/services are incrementally introduced
- Can handle **rake-cycles** constructively: still **difficult** task

Constrained programming based solvers:

- Gets a good feasible solution

# Large data problems: metro/suburban timetabling

- Input: services/frequencies
- Constraints: headway, turn-around, platform **occupation** & **vacating**
- Output: rake-cycles and the timetable
- Number of rakes: need to optimize
- Constructive timetables: rakes/services are incrementally introduced
- Can handle **rake-cycles** constructively: still **difficult** task

Constrained programming based solvers:

- Gets a good feasible solution
- Helps to have spreadsheet based validators/checkers

(Though solvers have **ensured satisfaction** of constraints, one can validate by introducing **'test-flaws'**)



# Periodic and Aperiodic Versions

## Periodic Version:

- Tough integer programming problem: but fewer variables (just one period)
- Needs identical situations at end of every time period
- Very compact description of timetable
- Makes crew-scheduling easier

## Aperiodic Version:

- Allows customization of services (peak 3-hour timetable need not have all service-counts as multiple of 3)
- Many more event arrival/departure variables

Have tried both versions in Mumbai suburban, but detailed case study is the aperiodic version

## Delhi Metro Train Frequency

Line 1 (Dilshad Garden to Rithala)

Peak Hours : 3 min

Lean Hours : Upto 12 min

Line 2 (Jahangirpuri to HUDA City Cent)

Peak Hours : 3 min

Lean Hours : Upto 12 min

Line 3 (Noida City Cent to Dwarka Sec. 21)

Peak Hours : 3 min

Lean Hours : Upto 12 min

Line 4 (Yamuna Bank - Anand Vihar(ISBT))

Peak Hours : 6 min.

Lean Hours : Upto 12 min.

(Central Secretariat - Badarpur)

Peak Hours : 4 min.

Lean Hours : Upto 12 min.

(Inderlok - Mundka)

Frequency : Upto 10 min.

## Last trains from Inder lok

Towards Dilshad Garden

23:18 hrs

Towards Rithala

23:27 hrs

Towards Jahangirpuri

23:33 hrs

Towards Huda City Centre

22:51 hrs

Towards Anand Vihar

22:27 hrs

Towards Vishwavidyalaya

23:33 hrs

Towards Noida City Centre

22:39 hrs

Towards Mundka

23:30 hrs

Towards Dwarka

22:51 hrs

Towards Sarita Vihar

22:51 hrs

© Animesh Chakrabarti Timatahline

1

Delhi metro timetable: example

## Computation tools: example: spreadsheet/excel

- A hand-calculator (or computer's calculator) can perform complex calculations

## Computation tools: example: spreadsheet/excel

- A hand-calculator (or computer's calculator) can perform complex calculations
- **Insufficient** for large repetitive calculations on data
- Need spreadsheet/excel's power

## Computation tools: example: spreadsheet/excel

- A hand-calculator (or computer's calculator) can perform complex calculations
- **Insufficient** for large repetitive calculations on data
- Need spreadsheet/excel's power  
=SUM, =IF, =SUMIF, complex formula, etc.
- Can use 'VLOOKUP' to quickly merge data across sheets

## Computation tools: example: spreadsheet/excel

- A hand-calculator (or computer's calculator) can perform complex calculations
- **Insufficient** for large repetitive calculations on data
- Need spreadsheet/excel's power  
=SUM, =IF, =SUMIF, complex formula, etc.
- Can use 'VLOOKUP' to quickly merge data across sheets
- "Advanced and mature usage of spreadsheets for large data: **pivot-tables**": data analysis (summary)

## Computation tools: example: spreadsheet/excel

- A hand-calculator (or computer's calculator) can perform complex calculations
- **Insufficient** for large repetitive calculations on data
- Need spreadsheet/excel's power  
=SUM, =IF, =SUMIF, complex formula, etc.
- Can use 'VLOOKUP' to quickly merge data across sheets
- "Advanced and mature usage of spreadsheets for large data: **pivot-tables**": data analysis (**summary**)
- **However**, spreadsheet:

## Computation tools: example: spreadsheet/excel

- A hand-calculator (or computer's calculator) can perform complex calculations
- **Insufficient** for large repetitive calculations on data
- Need spreadsheet/excel's power  
=SUM, =IF, =SUMIF, complex formula, etc.
- Can use 'VLOOKUP' to quickly merge data across sheets
- "Advanced and mature usage of spreadsheets for large data: **pivot-tables**": data analysis (**summary**)
- **However**, spreadsheet:
  - **insufficient** for complex allocations/constraint validation
  - has **limited** ability as a 'solver'
  - no 'while' loop, no jumping from one solution to another
  - not OK for automation, nor for large data
  - semi-automatic, at best



# Large data problems: crew allotment

- Complex **safety-based** rules: Hours of Employment and Period of Rest Rules (HOER)

# Large data problems: crew allotment

- Complex **safety-based** rules: Hours of Employment and Period of Rest Rules (HOER)
- Need to utilize crew members efficiently

# Large data problems: crew allotment

- Complex **safety-based** rules: Hours of Employment and Period of Rest Rules (HOER)
- Need to utilize crew members efficiently
- **Efficient** utilization of crew: more **buffer** for:

# Large data problems: crew allotment

- Complex **safety-based** rules: Hours of Employment and Period of Rest Rules (HOER)
- Need to utilize crew members efficiently
- **Efficient** utilization of crew: more **buffer** for:
  - robustness

# Large data problems: crew allotment

- Complex **safety-based** rules: Hours of Employment and Period of Rest Rules (HOER)
- Need to utilize crew members efficiently
- **Efficient** utilization of crew: more **buffer** for:
  - robustness
  - can allow liberal leave policies

# Large data problems: crew allotment

- Complex **safety-based** rules: Hours of Employment and Period of Rest Rules (HOER)
- Need to utilize crew members efficiently
- **Efficient** utilization of crew: more **buffer** for:
  - robustness
  - can allow liberal leave policies
- WR suburban services:  $\sim 1400$  services:

# Large data problems: crew allotment

- Complex **safety-based** rules: Hours of Employment and Period of Rest Rules (HOER)
- Need to utilize crew members efficiently
- **Efficient** utilization of crew: more **buffer** for:
  - robustness
  - can allow liberal leave policies
- WR suburban services:  $\sim 1400$  services: to manage in  $\sim 380$  crew members

# Large data problems: crew allotment

- Complex **safety-based** rules: Hours of Employment and Period of Rest Rules (HOER)
- Need to utilize crew members efficiently
- **Efficient** utilization of crew: more **buffer** for:
  - robustness
  - can allow liberal leave policies
- WR suburban services:  $\sim 1400$  services: to manage in  $\sim 380$  crew members
- Tool helps to:
  - tweak/modify and re-run: takes a minute for each program-run
  - add/delete services and re-run program
  - **redecide/change** lobby locations and check



# Large data problems: crew allotment

- Complex **safety-based** rules: Hours of Employment and Period of Rest Rules (HOER)
- Need to utilize crew members efficiently
- **Efficient** utilization of crew: more **buffer** for:
  - robustness
  - can allow liberal leave policies
- WR suburban services:  $\sim 1400$  services: to manage in  $\sim 380$  crew members
- Tool helps to:
  - tweak/modify and re-run: takes a minute for each program-run
  - add/delete services and re-run program
  - **redecide/change** lobby locations and check
  - **optimize** required number of lobby locations

# Tools: constructive/solvers/simulation/semi-automate

---

In my understanding

In my understanding

- **Constructive** allocation tools: ensure constraints are satisfied:

# Tools: constructive/solvers/simulation/semi-automate

---

In my understanding

- **Constructive** allocation tools: ensure constraints are satisfied:
- Allocation based on ‘**solvers**’: satisfy all constraints (feasible solutions),

# Tools: constructive/solvers/simulation/semi-automate

In my understanding

- **Constructive** allocation tools: ensure constraints are satisfied:
- Allocation based on **'solvers'**: satisfy all constraints (feasible solutions), search for 'best' feasible solution

# Tools: constructive/solvers/simulation/semi-automate

In my understanding

- **Constructive** allocation tools: ensure constraints are satisfied:
- Allocation based on **'solvers'**: satisfy all constraints (feasible solutions), search for 'best' feasible solution
- **Validation/checker** tools: easily checked in spreadsheet

# Tools: constructive/solvers/simulation/semi-automate

In my understanding

- **Constructive** allocation tools: ensure constraints are satisfied:
- Allocation based on **'solvers'**: satisfy all constraints (feasible solutions), search for 'best' feasible solution
- **Validation/checker** tools: easily checked in spreadsheet
- **Simulation**: for complex scenarios/rules/constraints
- Analysis: tool **extracts statistics** from simulation or other data
- **Visualization** tools: to ratify data

# Tools: constructive/solvers/simulation/semi-automate

In my understanding

- **Constructive** allocation tools: ensure constraints are satisfied:
- Allocation based on **'solvers'**: satisfy all constraints (feasible solutions), search for 'best' feasible solution
- **Validation/checker** tools: easily checked in spreadsheet
- **Simulation**: for complex scenarios/rules/constraints
- Analysis: tool **extracts statistics** from simulation or other data
- **Visualization** tools: to ratify data and also **view** analysis-based statistics,



# Tools: constructive/solvers/simulation/semi-automate

In my understanding

- **Constructive** allocation tools: ensure constraints are satisfied:
- Allocation based on **'solvers'**: satisfy all constraints (feasible solutions), search for 'best' feasible solution
- **Validation/checker** tools: easily checked in spreadsheet
- **Simulation**: for complex scenarios/rules/constraints
- Analysis: tool **extracts statistics** from simulation or other data
- **Visualization** tools: to ratify data  
and also **view** analysis-based statistics, **aid** further tool-usage

# Tools: constructive/solvers/simulation/semi-automate

In my understanding

- **Constructive** allocation tools: ensure constraints are satisfied:
- Allocation based on ‘**solvers**’: satisfy all constraints (feasible solutions), search for ‘best’ feasible solution
- **Validation/checker** tools: easily checked in spreadsheet
- **Simulation**: for complex scenarios/rules/constraints
- Analysis: tool **extracts statistics** from simulation or other data
- **Visualization** tools: to ratify data  
and also **view** analysis-based statistics, **aid** further tool-usage
- **Semi-automate**: provide portal/interface to simplify complex problems

# Tools: constructive/solvers/simulation/semi-automate

In my understanding

- **Constructive** allocation tools: ensure constraints are satisfied:
- Allocation based on ‘**solvers**’: satisfy all constraints (feasible solutions), search for ‘best’ feasible solution
- **Validation/checker** tools: easily checked in spreadsheet
- **Simulation**: for complex scenarios/rules/constraints
- Analysis: tool **extracts statistics** from simulation or other data
- **Visualization** tools: to ratify data  
and also **view** analysis-based statistics, **aid** further tool-usage
- **Semi-automate**: provide portal/interface to simplify complex problems  
portal takes inputs manually,

# Tools: constructive/solvers/simulation/semi-automate

In my understanding

- **Constructive** allocation tools: ensure constraints are satisfied:
- Allocation based on ‘**solvers**’: satisfy all constraints (feasible solutions), search for ‘best’ feasible solution
- **Validation/checker** tools: easily checked in spreadsheet
- **Simulation**: for complex scenarios/rules/constraints
- Analysis: tool **extracts statistics** from simulation or other data
- **Visualization** tools: to ratify data  
and also **view** analysis-based statistics, **aid** further tool-usage
- **Semi-automate**: provide portal/interface to simplify complex problems  
portal takes inputs manually,  
after **showing statistics**, awaits further inputs  
**Decision-support tools**: can be visual

Data (digitally) available now for ‘pattern-searching’: can use ML and AI

Data (digitally) available now for ‘pattern-searching’: can use ML and AI  
Only humans (natural intelligence) can make use of these AI tools

- **Supervised** learning: needs training data, validation data, and then “deploy” to find

Data (digitally) available now for ‘pattern-searching’: can use ML and AI  
Only humans (natural intelligence) can make use of these AI tools

- **Supervised** learning: needs training data, validation data, and then “deploy” to find more/better patterns, adapt and predict
  - Used to extrapolate/predict/fit new data into pre-calculated models
  - Find outliers (in timetabling?) too fast/too slow?

Data (digitally) available now for ‘pattern-searching’: can use ML and AI  
Only humans (natural intelligence) can make use of these AI tools

- **Supervised** learning: needs training data, validation data, and then “deploy” to find more/better patterns, adapt and predict
  - Used to extrapolate/predict/fit new data into pre-calculated models
  - Find outliers (in timetabling?) too fast/too slow?
- **Unsupervised** learning: no initial training: run heuristics to get good solution



Data (digitally) available now for ‘pattern-searching’: can use ML and AI  
Only humans (natural intelligence) can make use of these AI tools

- **Supervised** learning: needs training data, validation data, and then “deploy” to find more/better patterns, adapt and predict
  - Used to extrapolate/predict/fit new data into pre-calculated models
  - Find outliers (in timetabling?) too fast/too slow?
- **Unsupervised** learning: no initial training: run heuristics to get good solution
  - grouping of non-daily trains into same/almost-same path

Data (digitally) available now for ‘pattern-searching’: can use ML and AI  
Only humans (natural intelligence) can make use of these AI tools

- **Supervised** learning: needs training data, validation data, and then “deploy” to find more/better patterns, adapt and predict
  - Used to extrapolate/predict/fit new data into pre-calculated models
  - Find outliers (in timetabling?) too fast/too slow?
- **Unsupervised** learning: no initial training: run heuristics to get good solution
  - grouping of non-daily trains into same/almost-same path
- **Reinforcement** learning: specify a ‘reward function’ on each solution, and iterate/jump from a solution to another

Data (digitally) available now for ‘pattern-searching’: can use ML and AI  
Only humans (natural intelligence) can make use of these AI tools

- **Supervised** learning: needs training data, validation data, and then “deploy” to find more/better patterns, adapt and predict
  - Used to extrapolate/predict/fit new data into pre-calculated models
  - Find outliers (in timetabling?) too fast/too slow?
- **Unsupervised** learning: no initial training: run heuristics to get good solution
  - grouping of non-daily trains into same/almost-same path
- **Reinforcement** learning: specify a ‘reward function’ on each solution, and iterate/jump from a solution to another often computationally easy to jump (rather than exhaustively search)

Data (digitally) available now for ‘pattern-searching’: can use ML and AI  
Only humans (natural intelligence) can make use of these AI tools

- **Supervised** learning: needs training data, validation data, and then “deploy” to find more/better patterns, adapt and predict
  - Used to extrapolate/predict/fit new data into pre-calculated models
  - Find outliers (in timetabling?) too fast/too slow?
- **Unsupervised** learning: no initial training: run heuristics to get good solution
  - grouping of non-daily trains into same/almost-same path
- **Reinforcement** learning: specify a ‘reward function’ on each solution, and iterate/jump from a solution to another often computationally easy to jump (rather than exhaustively search)
  - optimize crew allotment
  - choose start-timings to get ‘better and better’ grouping at congested section

## Recent railway projects completed/ongoing

- ZBTT (ongoing): only GQD routes (daily-paths) and freight trains
- CR/WR locals: security personnel assignment for late-night travel in ladies-compartments (9pm to 6am): 2017

## Recent railway projects completed/ongoing

- ZBTT (ongoing): only GQD routes (daily-paths) and freight trains
- CR/WR locals: security personnel assignment for late-night travel in ladies-compartments (9pm to 6am): 2017
- RDSO: section simulator: 2018
- Use of section simulator for ALD study: for RITES Ltd: 2018
- Use of section simulator for Niti-Aayog: short study: 2017
- Ahmedabad junction: simulation based congestion study: 2019
- WR/CR: crew allotment and harbour line timetable: 2019 (but .... )
- GQD: (long-distance) timetabling: ZBTT (in 2020)
- Several other usages of this simulator: before 2016: kept in the link

## Recent railway projects completed/ongoing

- ZBTT (ongoing): only GQD routes (daily-paths) and freight trains
- CR/WR locals: security personnel assignment for late-night travel in ladies-compartments (9pm to 6am): 2017
- RDSO: section simulator: 2018
- Use of section simulator for ALD study: for RITES Ltd: 2018
- Use of section simulator for Niti-Aayog: short study: 2017
- Ahmedabad junction: simulation based congestion study: 2019
- WR/CR: crew allotment and harbour line timetable: 2019 (but .... )
- GQD: (long-distance) timetabling: ZBTT (in 2020)
- Several other usages of this simulator: before 2016: kept in the link

## Our other projects involving development of tools

---

Together with collaborators, supervised/developed tools for:

- Fault diagnosis in nuclear reactor sensors (140 sensors, complex dynamics)  
BRNS project: implementation in Matlab/Scilab,



## Our other projects involving development of tools

---

Together with collaborators, supervised/developed tools for:

- Fault diagnosis in nuclear reactor sensors (140 sensors, complex dynamics)

BRNS project: implementation in Matlab/Scilab, in 2nd phase: Python

## Our other projects involving development of tools

Together with collaborators, supervised/developed tools for:

- Fault diagnosis in nuclear reactor sensors (140 sensors, complex dynamics)  
BRNS project: implementation in Matlab/Scilab, in 2nd phase: Python
- Joint Admission for M.Sc.: allocation program  
(ties/reservation/multiple-papers/multiple-rounds/transparency):  
2300 M.Sc. seats across IITs (amongst  $\sim 6k$  JAM-qualified candidates)

## Our other projects involving development of tools

Together with collaborators, supervised/developed tools for:

- Fault diagnosis in nuclear reactor sensors (140 sensors, complex dynamics)  
BRNS project: implementation in Matlab/Scilab, in 2nd phase: Python
- Joint Admission for M.Sc.: allocation program  
(ties/reservation/multiple-papers/multiple-rounds/transparency):  
2300 M.Sc. seats across IITs (amongst ~6k JAM-qualified candidates)
- Final project/position allotment for MTech/PhD candidates: EE, IITB

## Our other projects involving development of tools

Together with collaborators, supervised/developed tools for:

- Fault diagnosis in nuclear reactor sensors (140 sensors, complex dynamics)  
BRNS project: implementation in Matlab/Scilab, in 2nd phase: Python
- Joint Admission for M.Sc.: allocation program  
(ties/reservation/multiple-papers/multiple-rounds/transparency):  
2300 M.Sc. seats across IITs (amongst ~6k JAM-qualified candidates)
- Final project/position allotment for MTech/PhD candidates: EE, IITB
- Admission [shortlisting](#) portal: **semi-automation** (in EE, IITB)

## Our other projects involving development of tools

Together with collaborators, supervised/developed tools for:

- Fault diagnosis in nuclear reactor sensors (140 sensors, complex dynamics)  
BRNS project: implementation in Matlab/Scilab, in 2nd phase: Python
- Joint Admission for M.Sc.: allocation program  
(ties/reservation/multiple-papers/multiple-rounds/transparency):  
2300 M.Sc. seats across IITs (amongst ~6k JAM-qualified candidates)
- Final project/position allotment for MTech/PhD candidates: EE, IITB
- Admission [shortlisting](#) portal: **semi-automation** (in EE, IITB)  
About 400 candidates (and parents) can leave a day early (to home/other-IITs)

## Our other projects involving development of tools

Together with collaborators, supervised/developed tools for:

- Fault diagnosis in nuclear reactor sensors (140 sensors, complex dynamics)  
BRNS project: implementation in Matlab/Scilab, in 2nd phase: Python
- Joint Admission for M.Sc.: allocation program  
(ties/reservation/multiple-papers/multiple-rounds/transparency):  
2300 M.Sc. seats across IITs (amongst ~6k JAM-qualified candidates)
- Final project/position allotment for MTech/PhD candidates: EE, IITB
- Admission [shortlisting](#) portal: **semi-automation** (in EE, IITB)  
About 400 candidates (and parents) can leave a day early (to home/other-IITs)
- Interview committee allotment (in EE, IITB): reduce from 4 hrs to 1 min  
About 150 candidates (and parents) can leave a day early (to home/other-IITs)

## Our other projects involving development of tools

Together with collaborators, supervised/developed tools for:

- Fault diagnosis in nuclear reactor sensors (140 sensors, complex dynamics)  
BRNS project: implementation in Matlab/Scilab, in 2nd phase: Python
- Joint Admission for M.Sc.: allocation program  
(ties/reservation/multiple-papers/multiple-rounds/transparency):  
2300 M.Sc. seats across IITs (amongst ~6k JAM-qualified candidates)
- Final project/position allotment for MTech/PhD candidates: EE, IITB
- Admission [shortlisting](#) portal: **semi-automation** (in EE, IITB)  
About 400 candidates (and parents) can leave a day early (to home/other-IITs)
- Interview committee allotment (in EE, IITB): reduce from 4 hrs to 1 min  
About 150 candidates (and parents) can leave a day early (to home/other-IITs)
- PhD-students portal: database/scheduling: **semi-automation** (in EE, IITB)

- Java and Python: free and open source
- Free and Open-Source (FOSS): ‘independence’



# Machinery/tools

---

- Java and Python: free and open source
- Free and Open-Source (FOSS): ‘independence’
- Helps to use open-source tools: else exorbitant (non-academic) prices

# Machinery/tools

- Java and Python: free and open source
- Free and Open-Source (FOSS): ‘independence’
- Helps to use open-source tools: else exorbitant (non-academic) prices (and strings on usage)

- Java and Python: free and open source
- Free and Open-Source (FOSS): ‘independence’
- Helps to use open-source tools: else exorbitant (non-academic) prices (and strings on usage)
- Gurobi as the solver: Gurobi’s limited version is free
- IPOPT/COIN-OR (open-source and state of the art solvers): will shift soon to this

# Machinery/tools

- Java and Python: free and open source
- Free and Open-Source (FOSS): ‘independence’
- Helps to use open-source tools: else exorbitant (non-academic) prices (and strings on usage)
- Gurobi as the solver: Gurobi’s limited version is free
- IPOPT/COIN-OR (open-source and state of the art solvers): will shift soon to this
- Commercial software: dependence: cannot install on many computers

# Machinery/tools

- Java and Python: free and open source
- Free and Open-Source (FOSS): ‘independence’
- Helps to use open-source tools: else exorbitant (non-academic) prices (and strings on usage)
- Gurobi as the solver: Gurobi’s limited version is free
- IPOPT/COIN-OR (open-source and state of the art solvers): will shift soon to this
- Commercial software: dependence: cannot install on many computers
- Software made in FOSS can be modified to yield valuable statistics for analysis

## Ready-made/proprietary vs 'home-grown' software

---

- Our metro-rail operations: complex and unique challenges
- Other metro/suburban-railways elsewhere: have their own challenges
- Each software needs significant local customization

## Ready-made/proprietary vs 'home-grown' software

- Our metro-rail operations: complex and unique challenges
- Other metro/suburban-railways elsewhere: have their own challenges
- Each software needs significant local customization
- For complex requirements: prudent to have our own slowly-grown 'home-grown' software

## Ready-made/proprietary vs 'home-grown' software

- Our metro-rail operations: complex and unique challenges
- Other metro/suburban-railways elsewhere: have their own challenges
- Each software needs significant local customization
- For complex requirements: prudent to have our own slowly-grown 'home-grown' software
- Problem specifications: from our own 'shop-floor': operations personnel
- Railways/Academia/Software-agency: combination inevitable



## Zero-base TT efforts elsewhere: example

The New Dutch Timetable: The OR Revolution, 2009 paper in *Interfaces*  
Paper abstract

In December 2006, Netherlands Railways introduced a completely new timetable. Its objective was to facilitate the growth of passenger and freight transport on a highly utilized railway network, and improve the robustness of the timetable resulting in less train delays in the operation. Further adjusting the existing timetable constructed in 1970 was not option anymore, because further growth would then require significant investments in the rail infrastructure.

Constructing a railway timetable from scratch for about 5,500 daily trains was a complex problem. To support this process, we generated several timetables using sophisticated operations research techniques, and finally selected and implemented one of these timetables. Furthermore, because rolling-stock and crew costs are principal components of the cost of a passenger railway operator, we used innovative operations research tools to devise efficient schedules for these two resources.

The new resource schedules and the increased number of passengers resulted

The New Dutch Timetable: The OR Revolution, 2009 paper in *Interfaces*

Authors: Kroon, Huisman, Abbink, Fioole, Ybema, Maroti, Schrijver, Steenbeek, Fischetti

Affiliations: railway personnel/software-firm/academia

- Department of Logistics, Netherlands Railways: Kroon, Huisman, Abbink, Fioole, Ybema
- Rotterdam School of Management, Erasmus University: Kroon, Maroti
- Econometric Institute, Erasmus University Rotterdam: Huisman
- CWI and University of Amsterdam: Schrijver
- Safiro Software Solutions: Steenbeek
- University of Padova Italy: Fischetti

The New Dutch Timetable: The OR Revolution, 2009 paper in *Interfaces*

Authors: Kroon, Huisman, Abbink, Fioole, Ybema, Maroti, Schrijver, Steenbeek, Fischetti

Affiliations: railway personnel/software-firm/academia

- Department of Logistics, Netherlands Railways: Kroon, Huisman, Abbink, Fioole, Ybema
- Rotterdam School of Management, Erasmus University: Kroon, Maroti
- Econometric Institute, Erasmus University Rotterdam: Huisman
- CWI and University of Amsterdam: Schrijver
- Safiro Software Solutions: Steenbeek
- University of Padova Italy: Fischetti

Similar efforts in Germany: Narayan Rangaraj's collaborators

## Summary: need to shift to automated/semi-automated tools

---

- Need to shift to modern tools for
  - self-growth (and ourselves remaining relevant over next few decades)
  - system productivity/efficiency
- Tools that are ‘home-grown’ and in FOSS allow complete flexibility/independence and customization
- Describing/formulating the specs of the tool:
  - from on-field/operations personnel

## Summary: need to shift to automated/semi-automated tools

---

- Need to shift to modern tools for
  - self-growth (and ourselves remaining relevant over next few decades)
  - system productivity/efficiency
- Tools that are ‘home-grown’ and in FOSS allow complete flexibility/independence and customization
- Describing/formulating the specs of the tool:
  - from on-field/operations personnel (primarily)

## Summary: need to shift to automated/semi-automated tools

---

- Need to shift to modern tools for
  - self-growth (and ourselves remaining relevant over next few decades)
  - system productivity/efficiency
- Tools that are ‘home-grown’ and in FOSS allow complete flexibility/independence and customization
- Describing/formulating the specs of the tool:
  - from on-field/operations personnel (primarily)
  - from anybody interested in the area

## Contact details

---

Thanks to Karthik, Husna, Samay: from EE/IEOR: IITB team

Thanks to CEP office, IITB: Mr. Surendra Gaikwad

Thanks to I-Metro: Ms. Manjari Srivastava, Mr. Sandeep Sharma

## Contact details

Thanks to Karthik, Husna, Samay: from EE/IEOR: IITB team

Thanks to CEP office, IITB: Mr. Surendra Gaikwad

Thanks to I-Metro: Ms. Manjari Srivastava, Mr. Sandeep Sharma

- Madhu Belur: 99874 66 279, [belur@iitb.ac.in](mailto:belur@iitb.ac.in)
- This talk will soon be available at  
[www.ee.iitb.ac.in/%7Ebelur/talks](http://www.ee.iitb.ac.in/%7Ebelur/talks)  
[www.ee.iitb.ac.in/%7Ebelur/railways/workshop](http://www.ee.iitb.ac.in/%7Ebelur/railways/workshop)  
[www.ee.iitb.ac.in/%7Ebelur/railways](http://www.ee.iitb.ac.in/%7Ebelur/railways)
- Narayan Rangaraj  
[narayan.rangaraj@iitb.ac.in](mailto:narayan.rangaraj@iitb.ac.in)