## Clustering techniques to optimize railway daily path utilization for non-daily trains

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## Objective

## Definition

Dailyzing: grouping of trains into one daily-path.

## Objective:

Group non-daily trains in clusters (i.e., achieve Dailyzing) for:

- Efficient track utilization.
- Faster and efficient time-tabling.


## Problem Formulation

## Problem statement:

Clustering/grouping of non-daily trains which occupy the same space (station/block section) at the same time on different days.


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## Golden Quadilateral \& its Diagonal (GQD) Data: Routes Map



HWH-Howrah
MAS-Madras
MMCT/CSMT-Mumbai NDLS-New Delhi

## Data preprocessing

Route-wise division of all trains.

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Removal of Daily trains.

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Removal of single touch trains in a given route.

Removal of geo-loops: train leaves the route and returns at the same station.

## Data preprocessing

Route-wise division of all trains.
Removal of Daily trains.

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Removal of single touch trains in a given route.

Removal of geo-loops: train leaves the route and returns at the same station.

Legs separation: train jumping routes.

## Data preprocessing

## Route-wise division of all trains.

Removal of Daily trains.

Modulo 86400 operation.

Removal of single touch trains in a given route.

Removal of geo-loops: train leaves the route and returns at the same station.

Legs separation: train jumping routes.

Up/down block section classification.

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## Methods: Distance metric

## Distance metric:

Similarity/dissimilarity matrix generation averaged over all block sections: distance/closeness metric.

Similarity Score $=\left\{\begin{array}{lc}\cos \left(\frac{\pi\left(\mathrm{T}_{i}-\mathrm{T}_{j}\right)}{2 \times \text { Time_Window }}\right), & \text { if Time_Window }>\left|\mathrm{T}_{i}-\mathrm{T}_{j}\right|, \\ 0, & \text { otherwise. }\end{array}\right.$
where,
$\mathrm{T}_{i}, \mathrm{~T}_{j}$ : times of arrival for the Train $i$ and $\operatorname{Train} j$ respectively at a given block-section,
Time_Window: allowed time within which two trains are considered to be similar.

## Methods: Clustering techniques used

## K-Means, [1957]:

- Centroid based clustering algorithm: partitions data into the clusters based on closeness to cluster centroids.
- Requires a pre-defined number of clusters information.
- Not always converges to global minima.


Figure: Clustering with K -means $(\mathrm{k}=10)^{1}$

[^0]
## Methods: Clustering techniques contd.

## DBSCAN (Density-Based Spatial Clustering of Applications with Noise), [1996]:

- Density-based clustering algorithm: closely packed data are grouped.
- Hyper-parameters: Epsilon (radius of the neighborhood to consider) and minPoints (minimum number of points to consider as dense).
- Works with arbitrarily shaped clusters, robust to outliers.
- Not purely deterministic; not good in large density variation data.


[^1]
## Methods: Clustering techniques contd.

## HAC (Hierarchical Agglomerated Clustering), [1967]:

- Connectivity based clustering algorithm
- Builds hierarchy of cluster: starts with each data point as a cluster, then merges and moves up the hierarchy.
- Hyperparameter: linkage method and affinity (distance metric) method.
- Linkage influences the shape of the cluster (For example, a single linkage method leads to a spherical shape).



## Method discussion : HAC hyper-parameter Tuning

Choosing the best linkage and affinity for Agglomerative clustering is done based on the number of clusters formed and the cluster size.

| Route | Total unique <br> trains | Total clustered <br> trains | Number of <br> clusters | Maximum number of <br> trains in cluster | Total number of <br> conflicting cluster |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | 257 | 172 | 66 | 6 | 3 |
| 2 | 256 | 151 | 57 | 6 | 4 |
| 3 | 166 | 105 | 32 | 7 | 2 |
| 4 | 194 | 132 | 47 | 7 | 1 |
| 5 | 123 | 65 | 25 | 5 | 3 |
| 6 | 251 | 158 | 56 | 7 | 3 |

Hierarchical Clustering (Ward linkage and Variable time window).

| Route | Total unique <br> trains | Total clustered <br> trains | Number of <br> clusters | Maximum number of <br> trains in cluster | Total number of <br> conflicting cluster |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | 257 | 187 | 63 | 6 | 5 |
| 2 | 256 | 151 | 55 | 6 | 4 |
| 3 | 166 | 98 | 33 | 6 | 3 |
| 4 | 194 | 129 | 41 | 7 | 0 |
| 5 | 123 | 79 | 27 | 7 | 3 |
| 6 | 251 | 155 | 57 | 6 | 1 |

Hierarchical Clustering (average linkage and Variable time window based on station distance)

## Hyper-parameter tuning contd...

| Route | Total unique <br> trains | Total clustered <br> trains | Number of <br> clusters | Maximum number of <br> trains in cluster | Total number of <br> conflicting cluster |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | 257 | 208 | 67 | 7 | 7 |
| 2 | 256 | 184 | 60 | 7 | 10 |
| 3 | 166 | 103 | 34 | 6 | 2 |
| 4 | 194 | 138 | 48 | 7 | 2 |
| 5 | 123 | 71 | 26 | 5 | 3 |
| 6 | 251 | 162 | 58 | 7 | 2 |

Hierarchical Clustering (average linkage and Optimized fixed time window)

Similarly, a "time window" could be an optimal "fixed" time window or "variable" time window based on block-section size.

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## Results: HAC for all routes

| Route <br> number | Total unique <br> trains | Total conflict free <br> clustered trains | Total number of <br> clusters |
| :---: | :---: | :---: | :---: |
| 1 | 257 | 208 | 77 |
| 2 | 256 | 178 | 64 |
| 3 | 166 | 123 | 43 |
| 4 | 194 | 146 | 54 |
| 5 | 123 | 73 | 30 |
| 6 | 251 | 198 | 57 |

Table: Hierarchical Agglomerative Clustering for all routes

## Results: Comparative study of clustering techniques

|  | HAC |  |  | DBSCAN |  | K-means |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Route <br> number | Total <br> unique <br> trains | Number of <br> conflict free <br> clustered trains | Time <br> taken <br> $(\mathbf{s e c})$ | Number of <br> conflict free <br> clustered trains | Time <br> taken <br> $(\mathrm{sec})$ | Number of <br> conflict free <br> clustered trains | Time <br> taken <br> $(\mathrm{sec})$ |
| 1 | 257 | 208 | $\mathbf{2 . 1 5}$ | 173 | 13.70 | 198 | 312.70 |
| 2 | 256 | 178 | $\mathbf{3 . 1 9}$ | 134 | 19.03 | 179 | 458.18 |
| 3 | 166 | 123 | $\mathbf{1 . 3 7}$ | 85 | 5.79 | 115 | 142.20 |
| 4 | 194 | 146 | $\mathbf{2 . 5 2}$ | 132 | 5.38 | 150 | 224.95 |
| 5 | 123 | 73 | 0.85 | 57 | 1.66 | 78 | 82.15 |
| 6 | 251 | 198 | 3.74 | 167 | 16.12 | 190 | 313.70 |

Table: Comparative study of different clustering techniques and their execution time

## Results and Discussion

The following table is generated using Indian Railway Catering and Tourism Corporation (IRCTC) website ${ }^{3}$. Train clusters have very similar and complementing trains.

| Train No. | $\mathbf{1 2 8 8 2}$ | $\mathbf{1 2 8 8 8}$ | $\mathbf{1 2 8 9 6}$ | $\mathbf{1 5 6 4 3}$ | $\mathbf{2 2 8 0 4}$ | $\mathbf{2 2 8 3 6}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Source-Dest. | PURI-KOL | PURI-KOL | PURI-KOL | PURI-HWH | SBP-SHM | PURI-SHM |
| Days of the week | Monday, Wed. | Sunday | Thursday | Saturday | Friday | Tuesday |
| Start time | $22: 05$ | $22: 05$ | $22: 05$ | $22: 15$ | $19: 40$ | $22: 05$ |
| End Time | $06: 50$ | $06: 50$ | $06: 50$ | $07: 05$ | $06: 50$ | $06: 50$ |


| Train No. | $\mathbf{1 2 2 1 8}$ | 12484 | $\mathbf{1 2 9 1 8}$ | $\mathbf{2 2 6 6 0}$ |
| :---: | :---: | :---: | :---: | :---: |
| Source-Dest. | CDG-KCVL | ASR-KCVL | NZM-ADI | YNRK-KCVL |
| Days of the week | Wed.,Friday | Sunday | Saturday | Monday |
| Start time | $09: 30$ | $05: 55$ | $13: 25$ | $06: 15$ |
| End Time | $12: 30$ | $12: 30$ | $03: 20$ | $12: 30$ |


| Train No. | 12247 | 12907 | 12909 |
| :---: | :---: | :---: | :---: |
| Source-Dest. | BDTS-NZM | BDTS-NZM | BDTS-NZM |
| Days of the week | Friday | Wed., Sunday | Tue, Thur, Saturday |
| Start time | $17: 30$ | $17: 30$ | $17: 30$ |
| End Time | $10: 15$ | $10: 15$ | $10: 15$ |

Clustered trains comparison with actual running trains data from IRCTC website.

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## Conclusion

Clusters generated based on the Hierarchical Agglomerative Clustering (HAC) method match with actual running trains data.

The clusters generated:

- complement each other very well
- very few conflicting clusters

The time required by HAC algorithm to generate clusters is within 3-4 seconds, faster than other techniques like K-means, DBSCAN clustering.

## Future Work

Faster timetabling procedure: grouped non-daily trains can be represented by a daily train, scheduling which automatically schedules others in the group.

Possibility of new trains: clusters with fewer than seven members can accommodate more non-daily trains. Hence, availability to introduce new trains.

Efficient clustering will help in determining under-utilized resources.

Suggestion for better timetabling, i.e., rescheduling some trains could lead to better compaction and efficient resource utilization.

# Clustering techniques to optimize railway daily path utilization for non-daily trains 

Thanks on behalf of IIT Bombay \& CRIS
Any questions?

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## GQD Data illustration

| TRAIN | WEEKDAYS | STATION | ARVL | BLCKSCTN | DAY | Direction |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 11111 | O,O,1,O,O,O,O | MTJ | 61500 | MTJ-BTSR | 1 | down |
| 11111 | O,O,1,0,0,0,0 | BTSR | 61860 | BTSR-VRBD | 1 | down |
| 11111 | $0,0,1,0,0,0,0$ | VRBD | 62160 | VRBD-AJH | 1 | down |
| 11111 | $0,0,1,0,0,0,0$ | AJH | 62460 | AJH-CHJ | 1 | down |
| 11111 | $0,0,1,0,0,0,0$ | CHJ | 62760 | CHJ-KSV | 1 | down |

This is a sample from the GQD dataset where:

- TRAIN: denotes train number
- WEEKDAYS: ' 1 ' denotes that train runs on that day of the week
- STATION: denotes the station through which the train passes
- ARVL: arrival time of the train at the given station
- BLCKSCTN: is the block section
- DAY: day of the journey of the train when it commenced from source
- Direction: is the direction of the train depending on the route


## Results: Clusters examples in route-1

Cluster examples with 7 members
\{12946, 12960, 19262, 19332, 19578, 22908, 22966\}
\{12959, 12979, 22933, 22935, 22963, 22989, 22993\}

## Cluster examples with 6 members

$\{16333,16335,16337,19260,22654,22656\}$
\{12217, 12483, 12917, 19807, 19813, 22659\}
Cluster examples with 5 members
\{19261, 19423, 19577, 22474, 22951\}
$\{12218,12484,12918,22660,22964\}$

## Results: Conflicting clusters in route-1

* Conflicting cluster: [19308, 22168, 22404]
- \{22168 22404\} are conflicting at \{'PWL-RDE'\} at \{86100\} on $\left\{{ }^{\prime}, \mathrm{o}, \mathrm{o}, \mathrm{o}, \mathrm{o}, \mathrm{o}, \mathrm{o}\right\}$
- Conflict at 1 block-section out of 18 block-sections
* Conflicting cluster: [12283, 12643, 12645]
- \{12283 12643\} are conflicting on \{'०,0,0,1,0,0,0’\}
- Conflict at 17 block-section out of 17 block-sections.


[^0]:    ${ }^{1}$ Photo courtesy: https://scikit-learn.org/

[^1]:    ${ }^{2}$ Photo courtesy: https://www.kdnuggets.com/2020/o4/dbscan-clustering-algorithm-machine-learning.html

[^2]:    ${ }^{3}$ https://www.irctc.co.in/nget/train-search

