A power efficient equalizer for high speed optical communication

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Introduction & Motivation

- Data traffic is expected to grow 5× by 2019
- Solution is coherent optical links
- Processing of data -DSP processing - Power hungry
- Processing of data -Analog processing - Low power - Limited performance

Global Mobile Data Traffic Growth / Top-Line Global Mobile Data Traffic will Increase 10-Fold from 2014-2019



Bandwidth requirement study¹

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1- Cisco visual networking index: Forecast and methodology, 2013-2018, Cisco, White Paper, Jun. 2014.

Explanation of ISI and multipath propagation

- Communication systems are greatly affected by channel interference.
- Common example of channel interference are:
 - Co-channel interference
 - Inter symbol interference
 - Inter carrier interference
- Coaxial cable \rightarrow bandwidth limitation
- Air(Cellular communication) → multipath propagation.



Need of equalization

- Intersymbol interference imposes the main obstacle in achieving increased digital transmission rates with the required accuracy
- We can use Pre-emphasis and Rx-equalizer to overcome this obstacle.
- Pre-emphasis is done at the transmitter side



• Generally only Pre-emphasis is not used, because of not knowing much about the channel condition at transmitter

Receiver equalizer: How equalizer works ?

Equalizer gives the inverse of channel frequency response.



Type of equalizers-1

Linear equalizer

• Transversal equalizers (Feed-forward filter)

$$Out(n) = \sum_{k=0}^{N} ln(n-k)W_k$$

- It can not work well in two conditions:
 - Channel frequency response is zero at some frequency

Ochannel shows very long response



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Type of equalizers-2

Non linear equalizer

- Decision feedback equalizer(DFE) is a non-linear equalizer.
- It will overcome the difficulty of spectral nulls and long channel response.
- It is subtracting previously estimated bit from the current bit.
- Nullify the effect of previous bit on current bit.



• Drawback of the DFE structure ightarrow Error in the feedback loop

Transmission system using channel equalization



Equalizer can work in three modes:

- Training mode: e(n) = S(n) Y(n)
- 3 Blind mode: e(n) = |Y(n)| R
- 3 Decision directed mode: e(n) = Y'(n) Y(n)

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Flow graph of equalization modes



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100 Gbps DP-QPSK Optical Transmission System



100 Gb/s DP-QPSK Coherent optical communication system¹



Analog coherent receiver¹

N. Nambath et al, IEEE/OSA Journal of Lightwave Technology, 2015

Why analog domain signal processing have limited performance ?

- Chromatic dispersion (CD) and polarization mode dispersion (PMD) \rightarrow Create signal distortion \rightarrow Need of equalization
- Equalization needs \rightarrow Tap delay line structure
- As fiber length increases \rightarrow Severe ISI \rightarrow Need of more than one number of analog delay
- $\bullet\,$ More than one number of analog delay \to Rise to the problem of signal distortion

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What are the possible solutions ?



Behaviour of DFE in a training mode

Output of simple QPSK DFE

$$x_{eq}(n) = [FF_0x(n)] - \underbrace{[FB_1\hat{s}(i-1) + ... + FB_P\hat{s}(i-P))]}_{FB^T\hat{s}}$$

In a training mode

$$S(T) = S(T)$$

$$In \xrightarrow{FF_0} + Out \xrightarrow{S(T)} \\ f \xrightarrow{FF_0} + Out \xrightarrow{S(T)} \\ f \xrightarrow{FF_0} + Out \xrightarrow{S(T)} \\ f \xrightarrow{FF_0} + Out \xrightarrow{FF_0} \\ f \xrightarrow{FF_0} \\ f \xrightarrow{FF_0} + Out \xrightarrow{FF_0} \\ f \xrightarrow{FF_$$

 $\hat{a}(i) = a(i)$

DFE with 0-analog delay and one digital delay

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Convergence of weights in training mode

• Let consider a channel h = {
$$h_0 h_1 \dots h_{L-1}$$
}
• $x(n) = h_0 s(n) + \dots + h_{L-1} s(n-L+1)$
• $x_{eq}(n) = FF_0(\underbrace{h_0 s(n) + \dots + h_{L-1} s(n-L+1)}_{\times(n)}) - (FB_1 s(n-1) \dots FB_P s(n-P))$

For zero error weights of DFE should converge

$$FF_0 = \frac{1}{h_0}$$

$$FB_i = \begin{cases} FF_0.h_i, & \text{if } 1 \le i \le P \\ 0, & i > P \end{cases}$$



Behaviour of DFE in a Blind mode

• Now training data is unavailable

$$\hat{s}(i) \neq s(i)$$

Open eye condition

Claim:

$$\Theta = \{h_0 \ h_1 \dots h_{L-1} \in \mathbb{C}^{L-1} : \sum_{n=1}^{L-1} |h_n| < h_0\}$$

- Channel $\in \Theta$ will give surely convergence of weights
- But the channel $\notin \Theta$, DFE convergence depends on number of error in feedback loop



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DFE multiple minima problem

- Without training sequence DFE is not be able to converge to its desired minima
- One example of local minima is $FF_i = 0 \forall i$ $\sum_{i=1}^{P} |FB_i| = 1$
- Convergence is depends on weight initialization and impulse response of the channel



DFE equalizer transfer function

• DFE equalizer transfer function

$$H_{DFE}(f) = rac{H_{FF}(f).2\pi fi}{H_{FB}(f)+2\pi fi}$$

• Linear equalizer transfer function

$$H_{LE}(f) = \frac{1}{H_c(f)}$$

• Spectral null problem

$$X(f) = S(f).H_c(f) + N(f)$$
$$X_{eq}(f) = \underbrace{S(f).H_c(f).H_{eq}(f)}_{= 0 \text{ if } H_c(f) = 0} + N(f)H_{eq}(f)$$

• Spectral null problem can be solved by the transfer function of DFE

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DFE gives solution of spectral null

• Result derive in time domain analysis

$$FF_0 = \frac{1}{h_0}$$

$$FB_i = \begin{cases} FF_0.h_i, & \text{if } 1 \le i \le P \\ 0, & i > P \end{cases}$$

• Using these results feedback transfer function can be derive in terms of channel transfer function

$$H_{FB}(f) = \frac{H_c(f)}{h_0} - 1$$

$$H_{DFE}(f) = rac{2\pi fi}{H_c(f) - h_0 + 2\pi fi.h_0} < \infty$$

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DFE for DP-QPSK System

Output of MIMO DFE can be written as

$$\begin{aligned} x_{eq} &= FF_{xx}^T \bar{x} + FF_{yx}^T \bar{x} - FB_x^T \bar{s}_x \\ y_{eq} &= FF_{yy}^T \bar{y} + FF_{xy}^T \bar{x} - FB_y^T \bar{s}_y \end{aligned}$$
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2 Weight Updates

$$FF_{xx} = FF'_{xx} + \mu e_x \bar{x}$$

$$FF_{xy} = FF'_{xy} + \mu e_y \bar{x}$$

$$FF_{yx} = FF'_{yx} + \mu e_x \bar{y}$$

$$FF_{yy} = FF'_{yy} + \mu e_y \bar{y}$$

$$FB_x = FB'_x + \mu e_x \bar{s}_x$$

$$FB_y = FB'_y + \mu e_y \bar{s}_y$$

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Results of DEE on SME fiber channel

Results of DFE in a blind mode - 5 km Fiber length



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Results of DFE in a blind mode - 15 km Fiber length



Results of DFE in a training mode - BER vs Fiber length & BER vs OSNR

DFE consists of 0 analog delay and 1 digital delay Feedforward equalizer consists of 1 analog delay



Results of DFE in a blind mode - BER vs Fiber length & BER vs OSNR

DFE consists of 0 analog delay and 1 digital delay Feedforward equalizer consists of 1 analog delay



DFE complexity comparison

Table : Summary of computational complexity of different Equalization schemes

Algorithm	Multiplications	Additions	Sign
LMS	40N	32N + 4	-
CMA	40N + 4	32N + 8	-
DFE	40N + 40P	32N + 32P + 12	4

Complexity of DFE increases at the order of $\mathcal{O}(N + P)$ Complexity feedforward equalizer increases only at the order of $\mathcal{O}(N)$

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Power consumption comparison

Power consumption by CMA equalizer (Considering 1 analog delay cell)¹

$$P_{CMA} = 60 \times 4 = 240 mW$$

 $\bullet\,$ Power consumption by DFE equalizer (Considering 0 analog delay cell $+\,$ 1 digital delay cell) 2

$$P_{DFE} = 12 \times 2 = 24 mW$$

¹P.K. Moyade et.al, VLSI Design (VLSID), 2012. ²U.Singh, Green, M.M., JSSC, 2005.

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Conclusion

- Time and frequency domain analysis of feedback equalization have been presented.
- Unique strategy has been presented to work conventional DFE in a blind mode.
- In simulation results we also present behavior of DFE on NZDSF fiber which is very non linear in nature compare to other fibers. On NZDSF fiber DFE is outperform than other linear feed forward equalizers.

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Conclusion

Facebook wants 100 Gb/s receiver



Thank You!!!

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