### **Finite Fields**

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# Fields

#### Definition

A set F together with two binary operations + and \* is a field if

- *F* is an abelian group under + whose identity is called 0
- *F*<sup>\*</sup> = *F* \ {0} is an abelian group under ∗ whose identity is called 1
- For any *a*, *b*, *c* ∈ *F*

$$a*(b+c) = a*b + a*c$$

#### Definition

A finite field is a field with a finite cardinality.

#### Example

 $\mathbb{F}_p = \{0, 1, 2, \dots, p-1\}$  with mod *p* addition and multiplication where *p* is a prime. Such fields are called prime fields.

## Some Observations

#### Example

- $\mathbb{F}_5 = \{0, 1, 2, 3, 4\}$
- $2^5 = 2 \mod 5, 3^5 = 3 \mod 5, 4^5 = 4 \mod 5$
- All elements of  $\mathbb{F}_5$  are roots of  $x^5 x$
- $2^2 = 4 \mod 5, 2^3 = 3 \mod 5, 2^4 = 1 \mod 5$
- $\mathbb{F}_5^* = \{1, 2, 3, 4\}$  is cyclic

#### Example

- $F = \{0, 1, y, y + 1\}$  under + and \* modulo  $y^2 + y + 1$
- $y^4 = y \mod (y^2 + y + 1), (y + 1)^4 = y + 1 \mod (y^2 + y + 1)$
- All elements of *F* are roots of  $x^4 x$

• 
$$(y+1)^2 = y \mod (y^2 + y + 1), (y+1)^3 = 1 \mod (y^2 + y + 1)$$

•  $F^* = \{1, y, y + 1\}$  is cyclic

# Field Isomorphism

#### Definition

Fields *F* and *G* are isomorphic if there exists a bijection  $\phi: F \to G$  such that

$$\begin{aligned} \phi(\alpha + \beta) &= \phi(\alpha) \oplus \phi(\beta) \\ \phi(\alpha \star \beta) &= \phi(\alpha) \otimes \phi(\beta) \end{aligned}$$

for all  $\alpha, \beta \in F$ .

Example

• 
$$F = \left\{ a_0 + a_1 x + a_2 x^2 \middle| a_i \in \mathbb{F}_2 \right\}$$
 under  $+$  and  $*$  modulo  $x^3 + x + 1$   
•  $G = \left\{ a_0 + a_1 x + a_2 x^2 \middle| a_i \in \mathbb{F}_2 \right\}$  under  $+$  and  $*$  modulo  $x^3 + x^2 + 1$ 

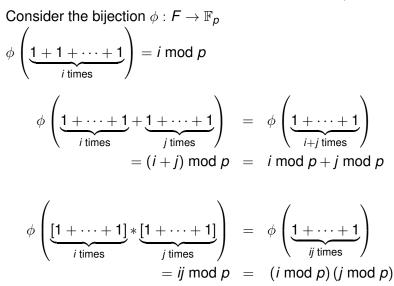
# Uniqueness of a Prime Field

#### Theorem

Every field F with a prime cardinality p is isomorphic to  $\mathbb{F}_p$ Proof.

- Let F be any field with p elements where p is prime
- F has a multiplicative identity 1
- Consider the additive subgroup  $S(1) = \langle 1 \rangle = \{1, 1 + 1, \ldots\}$
- By Lagrange's theorem, |S(1)| divides p
- Since  $1 \neq 0, |S(1)| \ge 2 \implies |S(1)| = p \implies S(1) = F$
- Every element in *F* is of the form  $\underbrace{1+1+\dots+1}_{i \text{ times}}$
- *F* is a field under the operations  $\underbrace{1+1+\dots+1}_{i \text{ times}} + \underbrace{1+1+\dots+1}_{j \text{ times}} = \underbrace{1+1+\dots+1}_{i+j \text{ mod } p \text{ times}} \text{ and }$   $\underbrace{1+1+\dots+1}_{i \text{ times}} * \underbrace{1+1+\dots+1}_{j \text{ times}} = \underbrace{1+1+\dots+1}_{ij \text{ mod } p \text{ times}}$

### Proof of *F* being Isomorphic to $\mathbb{F}_p$



# Subfields

#### Definition

A nonempty subset S of a field F is called a subfield of F if

- $\alpha + \beta \in S$  for all  $\alpha, \beta \in S$
- $-\alpha \in S$  for all  $\alpha \in S$
- $\alpha * \beta \in S \setminus \{0\}$  for all nonzero  $\alpha, \beta \in S$
- $\alpha^{-1} \in \mathcal{S} \setminus \{0\}$  for all nonzero  $\alpha \in \mathcal{S}$

#### Example

 $F = \{0, 1, x, x + 1\}$  under + and \* modulo  $x^2 + x + 1$  $\mathbb{F}_2$  is a subfield of F

# Characteristic of a Field

#### Definition

Let F be a field with multiplicative identity 1. The characteristic of F is the smallest integer p such that

$$\underbrace{1+1+\dots+1+1}_{p \text{ times}} = 0$$

#### Examples

- $\mathbb{F}_2$  has characteristic 2
- $\mathbb{F}_5$  has characteristic 5

#### Theorem

The characteristic of a finite field is prime

# Prime Subfield of a Finite Field

Theorem

Every finite field has a prime subfield.

Examples

- $\mathbb{F}_2$  has prime subfield  $\mathbb{F}_2$
- $F = \{0, 1, x, x + 1\}$  under + and \* modulo  $x^2 + x + 1$  has prime subfield  $\mathbb{F}_2$

Proof.

- Let F be any field with q elements
- F has a multiplicative identity 1
- Consider the additive subgroup  $S(1) = \langle 1 \rangle = \{1, 1 + 1, \ldots\}$
- |S(1)| = p where p is the characteristic of F
- S(1) is a subfield of F and is isomorphic to  $\mathbb{F}_p$

# Order of a Finite Field

Theorem

Any finite field has  $p^m$  elements where p is a prime and m is a positive integer.

Example

•  $F = \{0, 1, x, x + 1\}$  has  $2^2$  elements

Proof.

- Let *F* be any field with *q* elements and characteristic *p*
- *F* has a subfield isomorphic to  $\mathbb{F}_{p}$
- F is a vector space over  $\mathbb{F}_p$
- *F* has a finite basis  $v_1, v_2, \ldots, v_m$
- Every element of *F* can be written as  $\alpha_1 v_1 + \alpha_2 v_2 + \cdots + \alpha_m v_m$  where  $\alpha_i \in \mathbb{F}_p$

# Polynomials over a Field

#### Definition

A nonzero polynomial over a field F is an expression

$$f(x) = f_0 + f_1 x + f_2 x^2 + \dots + f_m x^m$$

where  $f_i \in F$  and  $f_m \neq 0$ . If  $f_m = 1$ , f(x) is said to be monic.

#### Definition

The set of all polynomials over a field F is denoted by F[x]

#### Examples

- $\mathbb{F}_3 = \{0, 1, 2\}, \, x^2 + 2x \in \mathbb{F}_3[x] \text{ and is monic}$
- $x^2 + 5$  is a monic polynomial in  $\mathbb{R}[x]$

# Divisors of Polynomials over a Field

#### Definition

A polynomial  $a(x) \in F[x]$  is said to be a divisor of a polynomial  $b(x) \in F[x]$  if b(x) = q(x)a(x) for some  $q(x) \in F[x]$ 

#### Example

 $x - i\sqrt{5}$  is a divisor of  $x^2 + 5$  in  $\mathbb{C}[x]$  but not in  $\mathbb{R}[x]$ 

#### Definition

Every polynomial f(x) in F[x] has trivial divisors consisting of nonzero elements in F and  $\alpha f(x)$  where  $\alpha \in F \setminus \{0\}$ 

#### Examples

- In  $\mathbb{F}_3[x]$ ,  $x^2 + 2x$  has trivial divisors 1,2,  $x^2 + 2x$ ,  $2x^2 + x$
- In  $\mathbb{F}_5[x]$ ,  $x^2 + 2x$  has trivial divisors 1, 2, 3, 4,  $x^2 + 2x$ ,  $2x^2 + 4x$ ,  $3x^2 + x$ ,  $4x^2 + 3x$

# **Prime Polynomials**

#### Definition

An irreducible polynomial is a polynomial of degree 1 or more which has only trivial divisors.

#### Examples

- In F<sub>3</sub>[x], x<sup>2</sup> + 2x has non-trivial divisors x, x + 2 and is not irreducible
- In  $\mathbb{F}_3[x]$ , x + 2 has only trivial divisors and is irreducible
- In any F[x],  $x + \alpha$  where  $\alpha \in F$  is irreducible

#### Definition

A monic irreducible polynomial is called a prime polynomial.

### Constructing a Field of $p^m$ Elements

- Choose a prime polynomial g(x) of degree m in  $\mathbb{F}_{p}[x]$
- Consider the set of remainders when polynomials in 𝔽<sub>p</sub>[x] are divided by g(x)

$$\boldsymbol{R}_{\mathbb{F}_{p},m} = \left\{ \boldsymbol{r}_{0} + \boldsymbol{r}_{1}\boldsymbol{x} + \cdots + \boldsymbol{r}_{m-1}\boldsymbol{x}^{m-1} \middle| \boldsymbol{r}_{i} \in \mathbb{F}_{p} \right\}$$

- The cardinality of  $R_{\mathbb{F}_{p},m}$  is  $p^m$
- $R_{\mathbb{F}_{p},m}$  with addition and multiplication mod g(x) is a field

#### Examples

•  $R_{\mathbb{F}_{2},2} = \{0, 1, x, x+1\}$  is a field under + and \* modulo  $x^{2} + x + 1$ •  $R_{\mathbb{F}_{2},3} = \left\{ r_{0} + r_{1}x + r_{2}x^{2} \middle| r_{i} \in \mathbb{F}_{2} \right\}$  under + and \* modulo  $x^{3} + x + 1$ 

# Factorization of Polynomials

#### Theorem

Every monic polynomial  $f(x) \in F[x]$  can be written as a product of prime factors

$$f(x)=\prod_{i=1}^k a_i(x)$$

where each  $a_i(x)$  is a prime polynomial in F[x]. The factorization is unique, up to the order of the factors.

#### Examples

- In  $\mathbb{F}_2[x]$ ,  $x^3 + 1 = (x+1)(x^2 + x + 1)$
- In  $\mathbb{C}[x]$ ,  $x^2 + 5 = (x + i\sqrt{5})(x i\sqrt{5})$
- In  $\mathbb{R}[x]$ ,  $x^2 + 5$  is itself a prime polynomial

# **Roots of Polynomials**

#### Definition

If  $f(x) \in F[x]$  has a degree 1 factor  $x - \alpha$  for some  $\alpha \in F$ , then  $\alpha$  is called a root of f(x)

#### Examples

- In  $\mathbb{F}_2[x]$ ,  $x^3 + 1$  has 1 as a root
- In  $\mathbb{C}[x]$ ,  $x^2 + 5$  has two roots  $\pm i\sqrt{5}$
- In  $\mathbb{R}[x]$ ,  $x^2 + 5$  has no roots

#### Theorem

In any field F, a monic polynomial  $f(x) \in F[x]$  of degree m can have at most m roots in F. If it does have m roots  $\{\alpha_1, \alpha_2, \dots, \alpha_m\}$ , then the unique factorization of f(x) is

$$f(x) = (x - \alpha_1)(x - \alpha_2) \cdots (x - \alpha_m).$$

# Multiplicative Cyclic Subgroups in a Field

#### Theorem

In any field *F*, the multiplicative group *F*<sup>\*</sup> of nonzero elements has at most one cyclic subgroup of any given order *n*. If such a subgroup exists, then its elements  $\{1, \beta, \beta^2, \dots, \beta^{n-1}\}$  satisfy

$$x^n-1=(x-1)(x-\beta)(x-\beta^2)\cdots(x-\beta^{n-1}).$$

#### Examples

- In ℝ\*, cyclic subgroups of order 1 and 2 exist.
- In  $\mathbb{C}^*$ , cyclic subgroups exist for every order *n*.

### Multiplicative Cyclic Subgroups in a Field

#### Proof of Theorem.

- Let S be a cyclic subgroup of  $F^*$  having order n.
- Then  $S = \{\beta, \beta^2, \dots, \beta^{n-1}, \beta^n = 1\}$  for some  $\beta \in S$ .
- For every  $\alpha \in S$ ,  $\alpha^n = 1 \implies \alpha$  is a root of  $x^n 1 = 0$ .
- Since  $x^n 1$  has at most *n* roots in *F*, *S* is unique.
- Since  $\beta^i$  is a root,  $x \beta^i$  is a factor of  $x^n 1$  for i = 1, ..., n
- By the uniqueness of factorization, we have

$$x^n-1=(x-1)(x-\beta)(x-\beta^2)\cdots(x-\beta^{n-1}).$$

# Factoring $x^q - x$ over a Field $F_q$

- Let  $F_q$  be a finite field of order q
- For any β ∈ F<sup>\*</sup><sub>q</sub>, let S(β) = {β, β<sup>2</sup>,..., β<sup>n</sup> = 1} be the cyclic subgroup of F<sup>\*</sup><sub>q</sub> generated by β
- The cardinality |S(β)| is called the multiplicative order of β and β<sup>|S(β)|</sup> = 1
- By Lagrange's theorem,  $|S(\beta)|$  divides  $|F_q^*| = q 1$

• So for any 
$$\beta \in F_q^*$$
,  $\beta^{q-1} = 1$ 

#### Theorem

In a finite field  $F_q$  with q elements, the nonzero elements of  $F_q$  are the q - 1 distinct roots of  $x^{q-1} - 1$ 

$$x^{q-1}-1=\prod_{\beta\in F_q^*}(x-\beta).$$

The elements of  $F_q$  are the q distinct roots of  $x^q - x$ , i.e.  $x^q - x = \prod_{x \in F_q} (x - \beta)$ 

# Factoring $x^q - x$ over a Field $F_q$ Example $\mathbb{F}_5 = \{0, 1, 2, 3, 4\}$ $(x-1)(x-2)(x-3)(x-4) = x^4 - 10x^3 + 35x^2 - 50x + 24$ $= x^4 - 1$ $x(x-1)(x-2)(x-3)(x-4) = x^5 - x$

#### Example

 $F = \{0, 1, y, y + 1\} \subset \mathbb{F}_2[y] \text{ under} + \text{ and } * \text{ modulo } y^2 + y + 1$ 

$$(x-1)(x-y)(x-y-1) = x^3 - x^2(y+1+y+1) + x(y+y+1+y^2+y) - y^2 - y = x^3 - 1 x(x-1)(x-y)(x-y-1) = x^4 - x$$

# $F_q^*$ is Cyclic

- A primitive element of  $F_q$  is an element  $\alpha$  with  $|S(\alpha)| = q 1$
- If  $\alpha$  is a primitive element, then  $\{1, \alpha, \alpha^2, \dots, \alpha^{q-2}\} = F_q^*$
- To show that  $F_q^*$  is cyclic, it is enough to show that a primitive element exists
- By Lagrange's theorem, the multiplicative order |S(β)| of every β ∈ F<sup>\*</sup><sub>q</sub> divides q − 1
- The size d of a cyclic subgroup of  $F_q^*$  divides q-1
- The number of elements having order d in a cyclic subgroup of size d is \u03c6(d)
- In  $F_q^*$ , there is at most one cyclic group of each size d
- All elements in F<sup>\*</sup><sub>q</sub> having same multiplicative order d have to belong to the same subgroup of order d

# $F_q^*$ is Cyclic

 The number of elements in F<sup>\*</sup><sub>q</sub> having order less than q - 1 is at most

$$\sum_{d:d|(q-1),d
eq q-1} \phi(d)$$

• The Euler numbers satisfy

$$q-1 = \sum_{d:d|(q-1)} \phi(d)$$

so we have

$$q-1-\sum_{d:d|(q-1),d\neq q-1}\phi(d)=\phi(q-1)$$

- $F_q^*$  has at least  $\phi(q-1)$  elements of order q-1
- Since  $\phi(q-1) \ge 1$ ,  $F_q^*$  is cyclic

# Summary of Results

- Every finite field has a prime subfield isomorphic to  $\mathbb{F}_p$
- Any finite field has  $p^m$  elements where p is a prime and m is a positive integer.
- Given an irreducible polynomial g(x) of degree m in 𝔽<sub>p</sub>[x], the set of remainders R<sub>𝔅p,m</sub> is a field under + and \* modulo g(x)
- The nonzero elements of a finite field F<sub>q</sub> are the q 1 distinct roots of x<sup>q-1</sup> - 1
- The elements of  $F_q$  are the q distinct roots of  $x^q x$
- $F_q^*$  is cyclic

# Some More Results

- Every finite field  $F_q$  having characteristic p is isomorphic to a polynomial remainder field  $F_{g(x)}$  where g(x) is an irreducible polynomial in  $\mathbb{F}_p[x]$  of degree m
- All finite fields of same size are isomorphic
- Finite fields with p<sup>m</sup> elements exist for every prime p and integer m ≥ 1

Questions? Takeaways?