

# Minimal Polynomials

Saravanan Vijayakumaran  
sarva@ee.iitb.ac.in

Department of Electrical Engineering  
Indian Institute of Technology Bombay

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## Factoring $x^q - x$ over a Field $F_q$ and $F_p$

### Example

$F = \{0, 1, y, y + 1\} \subset \mathbb{F}_2[y]$  under  $+$  and  $*$  modulo  $y^2 + y + 1$

$$\begin{aligned}x^4 - x &= x(x - 1)(x - y)(x - y - 1) \\ &= x(x + 1)[x^2 - x(y + y + 1) + y^2 + y] \\ &= x(x + 1)(x^2 + x + 1)\end{aligned}$$

The prime subfield of  $F$  is  $\mathbb{F}_2$ .  $x, x + 1, x^2 + x + 1 \in \mathbb{F}_2[x]$  are called the minimal polynomials of  $F$

### Example

$\mathbb{F}_5 = \{0, 1, 2, 3, 4\}$

$$x^5 - x = x(x - 1)(x - 2)(x - 3)(x - 4)$$

The prime subfield of  $\mathbb{F}_5$  is  $\mathbb{F}_5$ .

$x, x - 1, x - 2, x - 3, x - 4 \in \mathbb{F}_5[x]$  are called the minimal polynomials of  $\mathbb{F}_5$

## Factoring $x^q - x$ over a Field $F_q$ and $F_p$

- Let  $F_q$  be a finite field with characteristic  $p$
- $F_q$  has a subfield isomorphic to  $\mathbb{F}_p$
- Consider the polynomial  $x^q - x \in F_q[x]$
- Since the prime subfield contains  $\pm 1$ ,  $x^q - x \in \mathbb{F}_p[x]$
- $x^q - x$  factors into a product of prime polynomials  $g_i(x) \in \mathbb{F}_p[x]$

$$x^q - x = \prod_i g_i(x)$$

The  $g_i(x)$ 's are called the minimal polynomials of  $F_q$

- There are two factorizations of  $x^q - x$

$$x^q - x = \prod_{\beta \in F_q} (x - \beta) = \prod_i g_i(x) \implies g_i(x) = \prod_{j=1}^{\deg g_i(x)} (x - \beta_{ij})$$

- Each  $\beta \in F_q$  is a root of exactly one minimal polynomial of  $F_q$ , called the minimal polynomial of  $\beta$

## Properties of Minimal Polynomials (1)

Let  $F_q$  be a finite field with characteristic  $p$ . Let  $g(x)$  be the minimal polynomial of  $\beta \in F_q$ .

$g(x)$  is the monic polynomial of least degree in  $\mathbb{F}_p[x]$  such that  $g(\beta) = 0$

**Proof.**

- Let  $h(x) \in \mathbb{F}_p[x]$  be a monic polynomial of least degree such that  $h(\beta) = 0$
- Dividing  $g(x)$  by  $h(x)$ , we get  $g(x) = q(x)h(x) + r(x)$  where  $\deg r(x) < \deg h(x)$
- Since  $r(x) \in \mathbb{F}_p[x]$  and  $r(\beta) = 0$ , by the least degree property of  $h(x)$  we have  $r(x) = 0 \implies h(x)$  divides  $g(x)$
- Since  $g(x)$  is irreducible,  $\deg h(x) = \deg g(x)$
- Since both  $h(x)$  and  $g(x)$  are monic,  $h(x) = g(x)$



## Properties of Minimal Polynomials (2)

Let  $F_q$  be a finite field with characteristic  $p$ . Let  $g(x)$  be the minimal polynomial of  $\beta \in F_q$ .

For any  $f(x) \in \mathbb{F}_p[x]$ ,  $f(\beta) = 0 \iff g(x)$  divides  $f(x)$

**Proof.**

- ( $\Leftarrow$ ) If  $g(x)$  divides  $f(x)$ , then  $f(x) = a(x)g(x) \implies f(\beta) = a(\beta)g(\beta) = 0$
- ( $\Rightarrow$ ) Suppose  $f(x) \in \mathbb{F}_p[x]$  and  $f(\beta) = 0$
- Dividing  $f(x)$  by  $g(x)$ , we get  $f(x) = q(x)g(x) + r(x)$  where  $\deg r(x) < \deg g(x)$
- Since  $r(x) \in \mathbb{F}_p[x]$  and  $r(\beta) = 0$ , by the least degree property of  $g(x)$  we have  $r(x) = 0 \implies g(x)$  divides  $f(x)$

□

## Linearity of Taking $p$ th Power

Let  $F_q$  be a finite field with characteristic  $p$ .

- For any  $\alpha \in F_q$ ,  $p\alpha = 0$
- For any  $\alpha, \beta \in F_q$

$$(\alpha + \beta)^p = \sum_{j=0}^p \binom{p}{j} \alpha^j \beta^{p-j} = \alpha^p + \beta^p$$

- For any integer  $n \geq 1$ ,  $(\alpha + \beta)^{p^n} = \alpha^{p^n} + \beta^{p^n}$
- For any  $g(x) = \sum_{i=0}^m g_i x^i \in F_q[x]$ ,

$$\begin{aligned} [g(x)]^{p^n} &= (g_0 + g_1 x + g_2 x^2 + \cdots + g_m x^m)^{p^n} \\ &= g_0^{p^n} + g_1^{p^n} x^{p^n} + g_2^{p^n} x^{2p^n} + \cdots + g_m^{p^n} x^{mp^n} \end{aligned}$$

## Test for Membership in $\mathbb{F}_p[x]$

Let  $F_q$  be a finite field with characteristic  $p$ .  $F_q$  has a subfield isomorphic to  $\mathbb{F}_p$ . For any  $g(x) \in F_q[x]$

$$g^p(x) = g(x^p) \iff g(x) \in \mathbb{F}_p[x]$$

Note that  $g(x) \in \mathbb{F}_p[x] \iff$  all its coefficients  $g_i$  belong to  $\mathbb{F}_p$

**Proof.**

$$\begin{aligned} g^p(x) &= (g_0 + g_1x + g_2x^2 + \cdots + g_mx^m)^p \\ &= g_0^p + g_1^p x^p + g_2^p x^{2p} + \cdots + g_m^p x^{mp} \\ g(x^p) &= g_0 + g_1x^p + g_2x^{2p} + \cdots + g_mx^{mp} \end{aligned}$$

$$g^p(x) = g(x^p) \iff g_i^p = g_i \iff g_i \in \mathbb{F}_p$$



# Roots of Minimal Polynomials

## Theorem

Let  $F_q$  be a finite field with characteristic  $p$ . Let  $g(x)$  be the minimal polynomial of  $\beta \in F_q$ .

If  $q = p^m$ , then the roots of  $g(x)$  are of the form

$$\{\beta, \beta^p, \beta^{p^2}, \dots, \beta^{p^{n-1}}\}$$

where  $n$  is a divisor of  $m$

## Proof.

We need to show that

- There is an integer  $n$  such that  $\beta^{p^i}$  is a root of  $g(x)$  for  $1 \leq i < n$
- $n$  divides  $m$
- All the roots of  $g(x)$  are of this form



# Roots of Minimal Polynomials

Proof continued.

- Since  $g(x) \in \mathbb{F}_p[x]$ ,  $g^p(x) = g(x^p)$
- If  $\beta$  is a root of  $g(x)$ , then  $\beta^p$  is also a root
- $\beta^{p^2}, \beta^{p^3}, \beta^{p^4}, \dots$ , are all roots of  $g(x)$
- Let  $n$  be the smallest integer such that  $\beta^{p^n} = \beta$
- All elements in the set  $\beta, \beta^p, \beta^{p^2}, \beta^{p^3}, \dots, \beta^{p^{n-1}}$  are distinct
- If  $\beta^{p^a} = \beta^{p^b}$  for some  $0 \leq a < b \leq n-1$ , then

$$\left(\beta^{p^a}\right)^{p^{n-b}} = \left(\beta^{p^b}\right)^{p^{n-b}} \implies \beta^{p^{n+a-b}} = \beta^{p^n} = \beta$$

- If  $n$  does not divide  $m$ , then  $m = an + r$  where  $0 < r < n$

$$\beta^{p^m} = \beta \implies \beta^{p^r} = \beta \text{ which is a contradiction}$$

# Roots of Minimal Polynomials

Proof continued.

- It remains to be shown that  $\{\beta, \beta^p, \beta^{p^2}, \dots, \beta^{p^{n-1}}\}$  are the only roots of  $g(x)$
- Let  $h(x) = \prod_{i=0}^{n-1} (x - \beta^{p^i})$
- $h(x) \in \mathbb{F}_p[x]$  since

$$h^p(x) = \prod_{i=0}^{n-1} (x - \beta^{p^i})^p = \prod_{i=0}^{n-1} (x^p - \beta^{p^{i+1}}) = \prod_{i=0}^{n-1} (x^p - \beta^{p^i}) = h(x^p)$$

- Since  $g(x)$  is the least degree monic polynomial in  $\mathbb{F}_p[x]$  with  $\beta$  as a root,  $g(x) = h(x)$



Note: The roots of a minimal polynomial are said to form a cyclotomic coset

## Minimal Polynomials of $F_{16}$

The prime subfield of  $F_{16}$  is  $\mathbb{F}_2$ .

$$x^{16} + x = x(x+1)(x^2+x+1)(x^4+x+1)(x^4+x^3+1)(x^4+x^3+x^2+x+1)$$

- The number of primitive elements of  $F_{16}$  is  $\phi(15) = 8$
- All the roots of  $x^4 + x + 1$  and  $x^4 + x^3 + 1$  are primitive elements
- Let  $\alpha$  be a root of  $x^4 + x + 1$ .  $F_{16} = \{0, 1, \alpha, \alpha^2, \dots, \alpha^{14}\}$ 
  - $x$  has root 0 and  $x + 1$  has root 1
  - The roots of  $x^4 + x + 1$  are  $\{\alpha, \alpha^2, \alpha^4, \alpha^8\}$
  - The roots of  $x^2 + x + 1$  are  $\{\alpha^5, \alpha^{10}\}$
  - The roots of  $x^4 + x^3 + x^2 + x + 1$  are  $\{\alpha^3, \alpha^6, \alpha^9, \alpha^{12}\}$
  - The roots of  $x^4 + x^3 + 1$  are  $\{\alpha^7, \alpha^{14}, \alpha^{13}, \alpha^{11}\}$

## Minimal Polynomials of $F_{16}$

$$x^{16} + x = x(x+1)(x^2+x+1)(x^4+x+1)(x^4+x^3+1)(x^4+x^3+x^2+x+1)$$

Power	Polynomial	Tuple
0	0	(0 0 0 0)
1	1	(1 0 0 0)
$\alpha$	$\alpha$	(0 1 0 0)
$\alpha^2$	$\alpha^2$	(0 0 1 0)
$\alpha^3$	$\alpha^3$	(0 0 0 1)
$\alpha^4$	$1 + \alpha$	(1 1 0 0)
$\alpha^5$	$\alpha + \alpha^2$	(0 1 1 0)
$\alpha^6$	$\alpha^2 + \alpha^3$	(0 0 1 1)
$\alpha^7$	$1 + \alpha + \alpha^3$	(1 1 0 1)
$\alpha^8$	$1 + \alpha^2$	(1 0 1 0)
$\alpha^9$	$\alpha + \alpha^3$	(0 1 0 1)
$\alpha^{10}$	$1 + \alpha + \alpha^2$	(1 1 1 0)
$\alpha^{11}$	$\alpha + \alpha^2 + \alpha^3$	(0 1 1 1)
$\alpha^{12}$	$1 + \alpha + \alpha^2 + \alpha^3$	(1 1 1 1)
$\alpha^{13}$	$1 + \alpha^2 + \alpha^3$	(1 0 1 1)
$\alpha^{14}$	$1 + \alpha^3$	(1 0 0 1)

Questions? Takeaways?